

# A test of diversifying selection for a trait from within and between species genotypes and phenotypes

*Thibault Latrille<sup>1</sup>, Mélodie Bastian<sup>2</sup>, Théo Gaboriau<sup>1</sup>, Nicolas Salamin<sup>1</sup>*

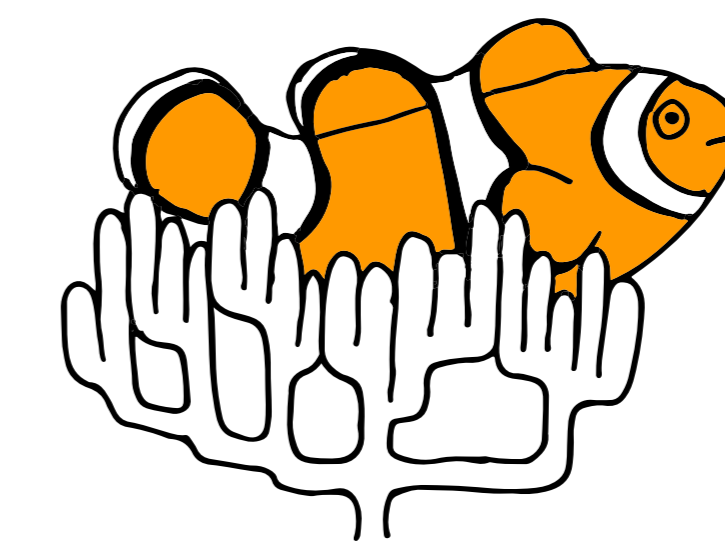
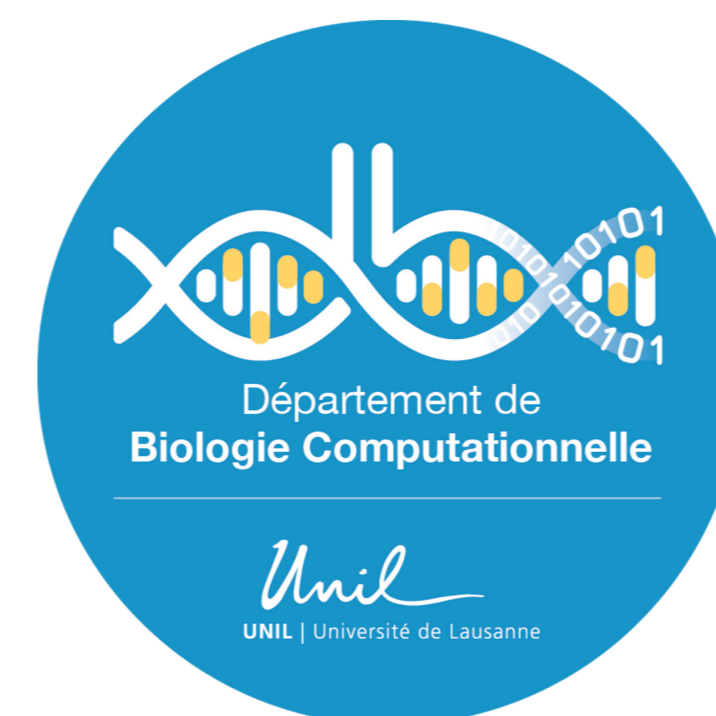
<sup>1</sup>Université de Lausanne; <sup>2</sup>Université de Lyon

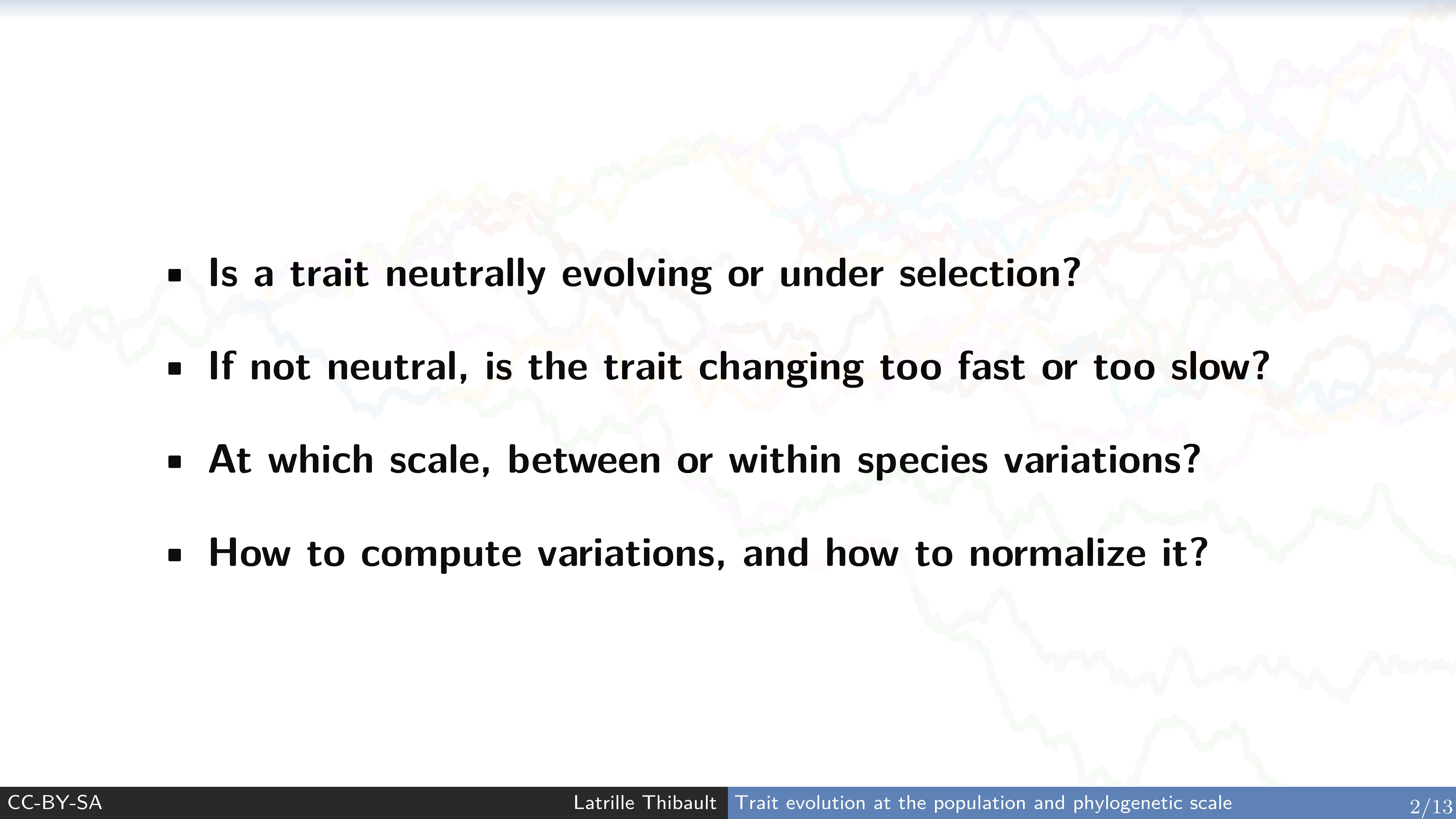
July 28, 2024

Evolution Joint Congress, Montréal

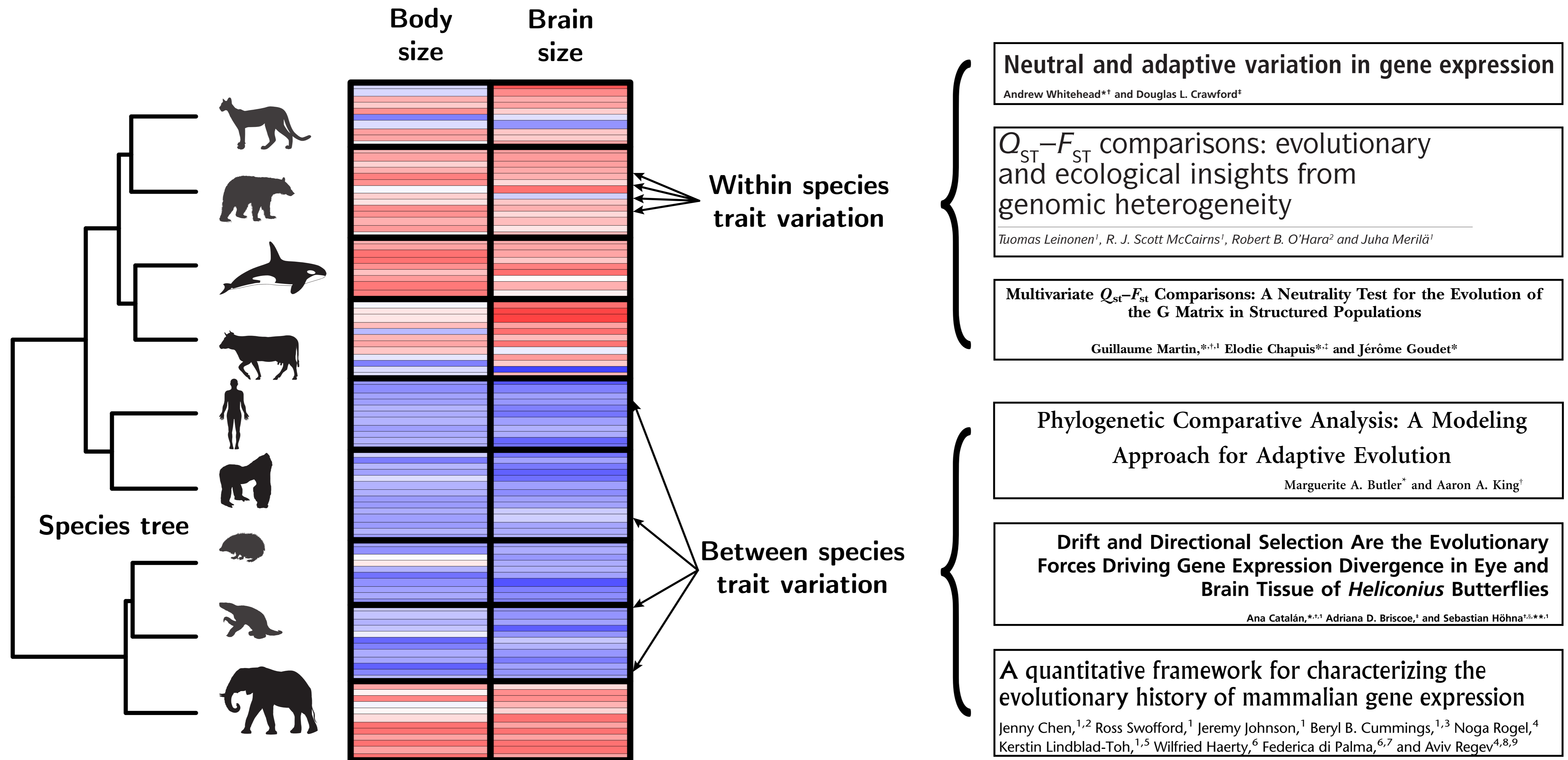
*Unil*

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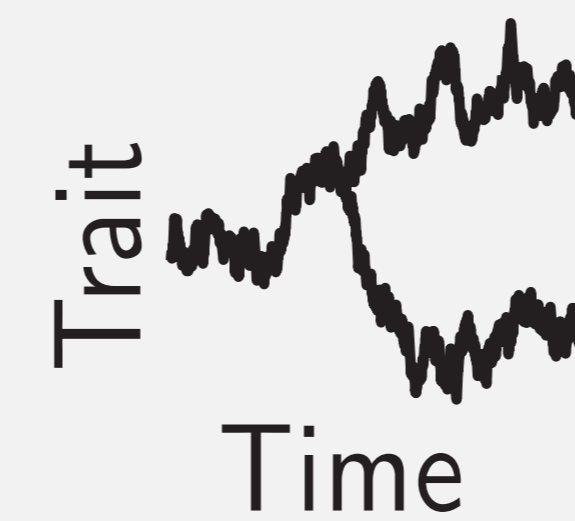
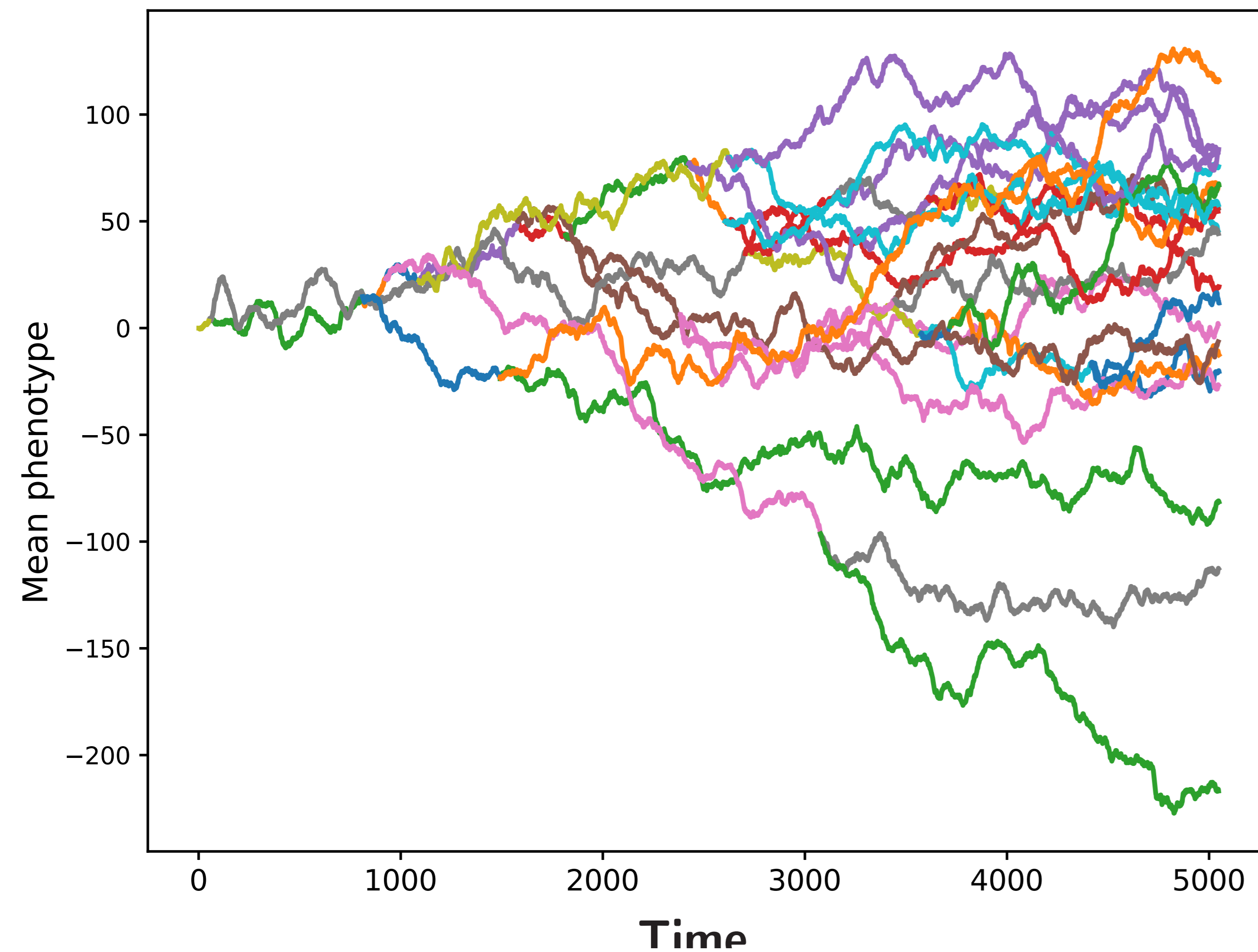
- 
- **Is a trait neutrally evolving or under selection?**
  - **If not neutral, is the trait changing too fast or too slow?**
  - **At which scale, between or within species variations?**
  - **How to compute variations, and how to normalize it?**

# Trait evolution between and within species



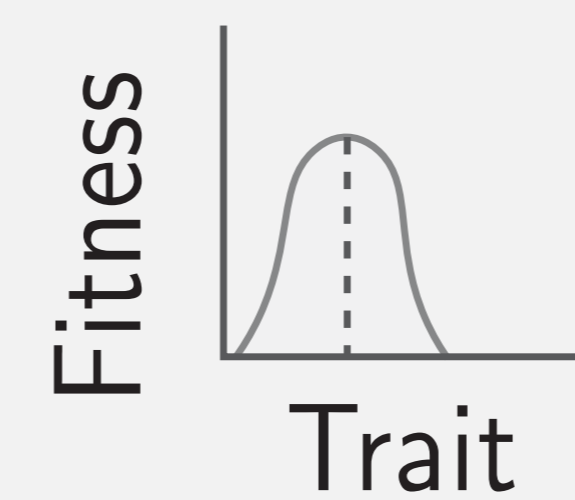
How fast should evolve a neutral trait?

# Modelling (mean) trait evolution between species



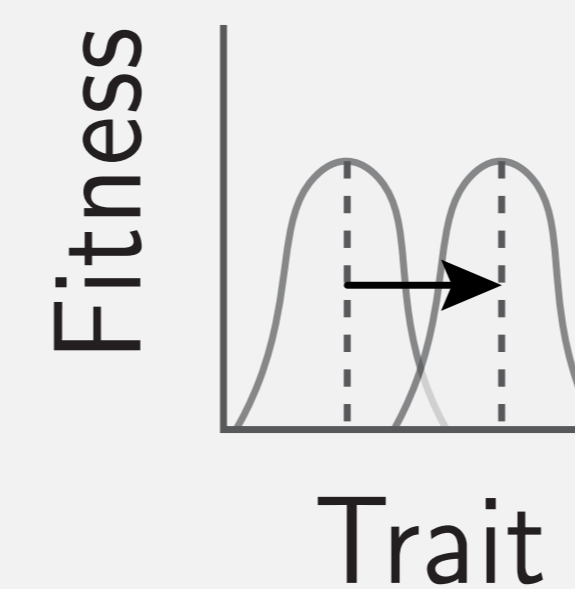
## Neutral trait

Random walk of the trait  
*Brownian process*



## Stabilizing selection

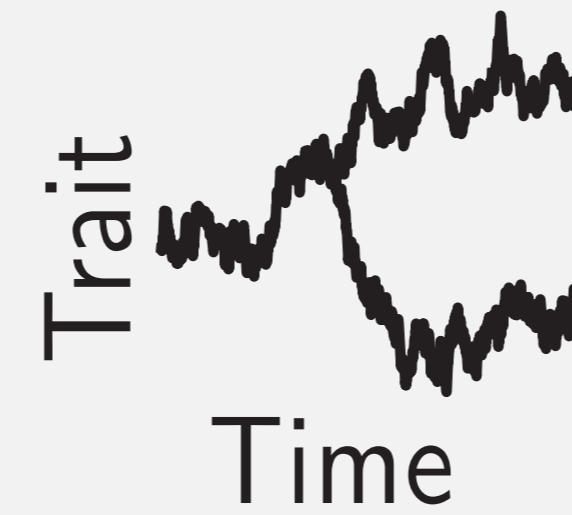
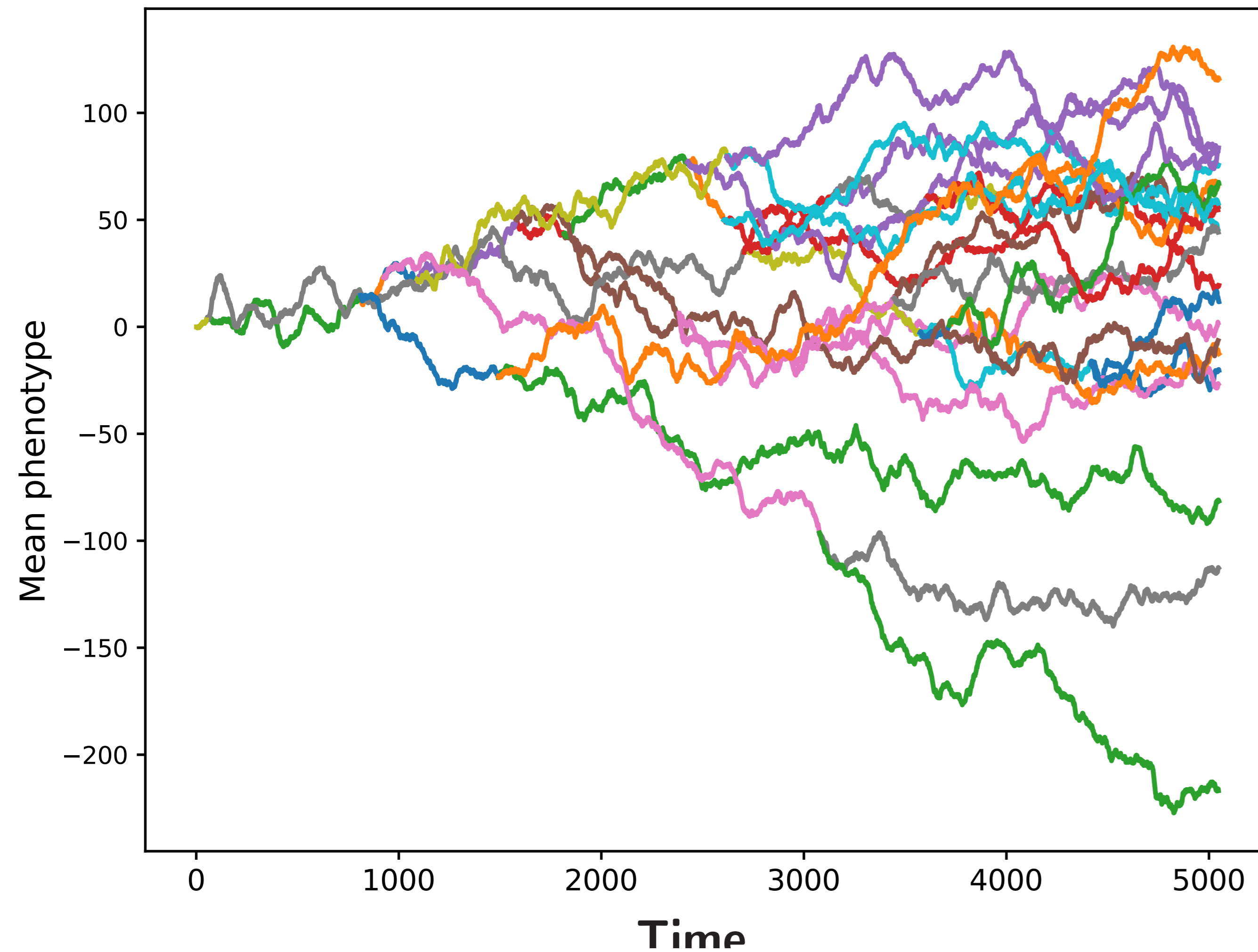
An optimal value for the trait.  
*Ornstein-Uhlenbeck (OU) process.*



## Diversifying selection

Different optimal value for the trait,  
changing along the phylogeny.  
*Shifted OU process.*

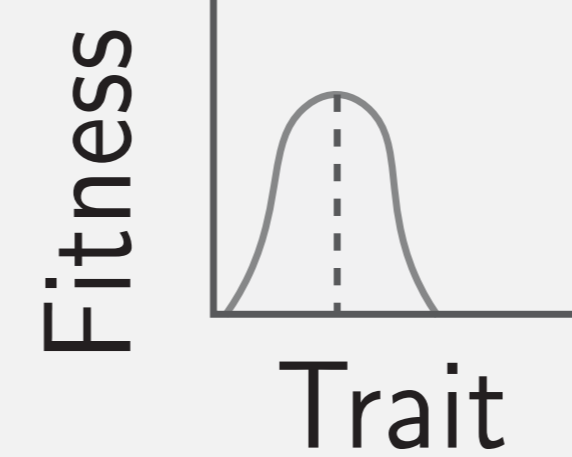
# Pitfalls of modelling (mean) trait evolution between species



## Neutral trait

Random walk of the trait

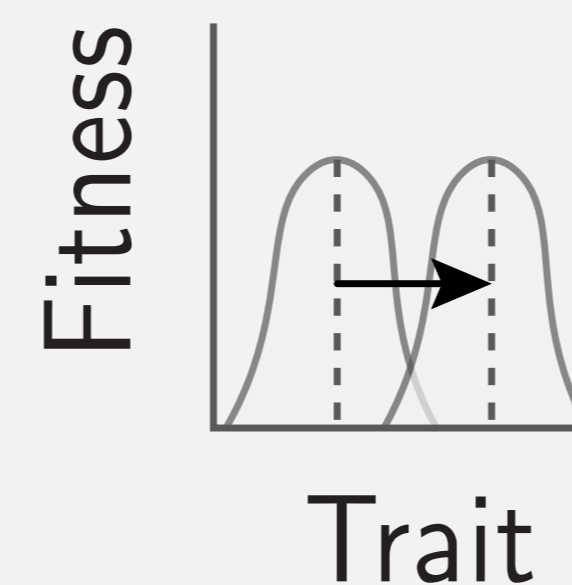
*Brownian process*



## Stabilizing selection

An optimal value for the trait.

*Ornstein-Uhlenbeck (OU) process.*



## Diversifying selection

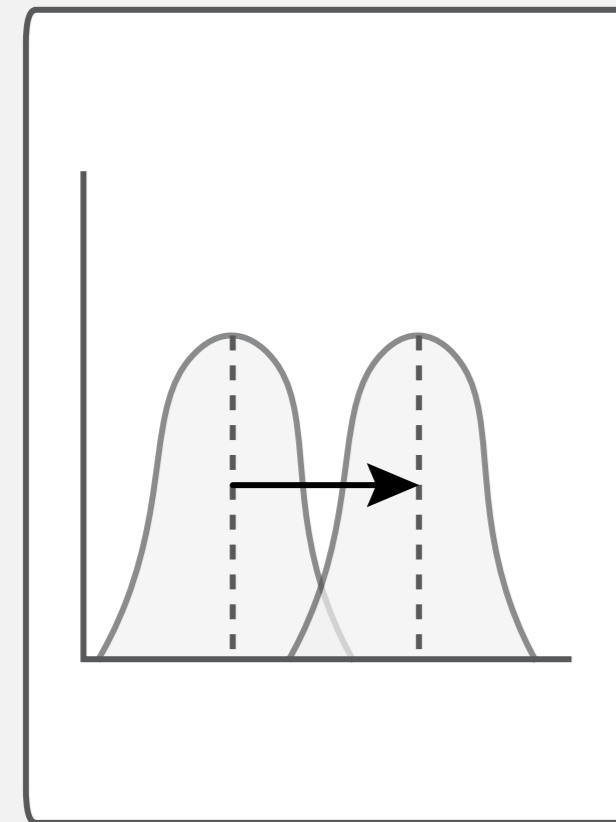
Different optimal value for the trait, changing along the phylogeny.

*Shifted OU process.*

- **OU process can be favored a neutral trait** <sup>[1,2]</sup>  
→ Selection but it's not.
- **Brownian process can be favored for trait under diversifying selection** <sup>[3]</sup>  
→ Neutral evolution but it's not.

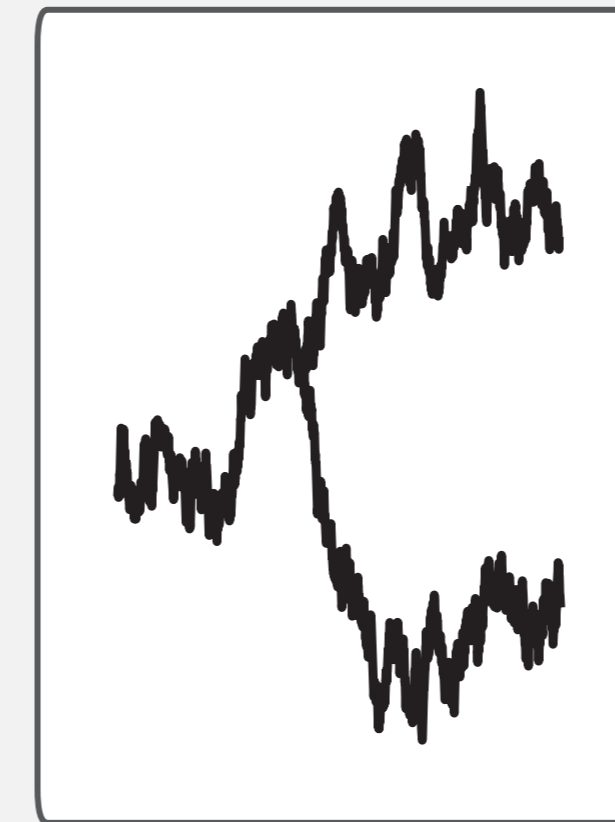
<sup>[1]</sup>Silvestro *et al* (2015); <sup>[2]</sup>Copper *et al* (2016); <sup>[3]</sup>Hansen & Martins (1996)

# What are you familiar with?



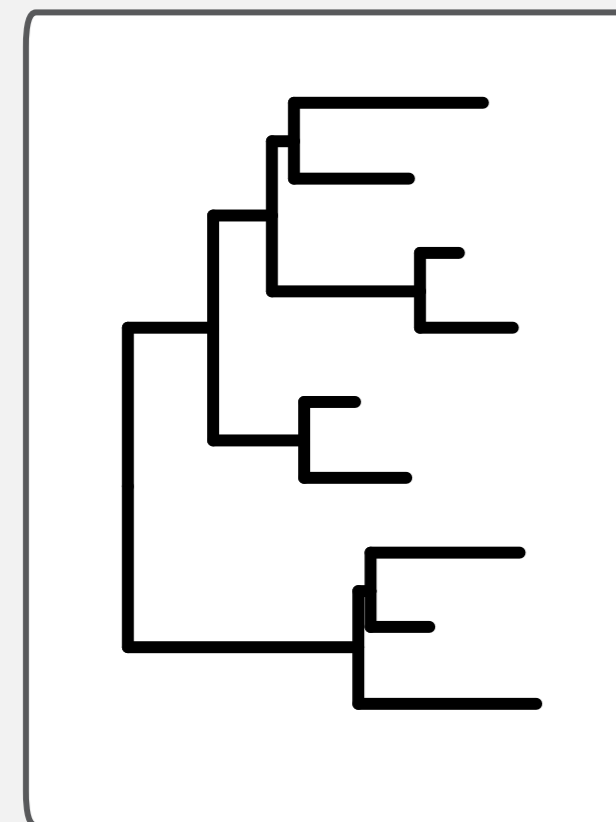
**Quantitative-genetics across populations.**

→ How to adapt  $Q_{ST}$ - $F_{ST}$  methods across species?



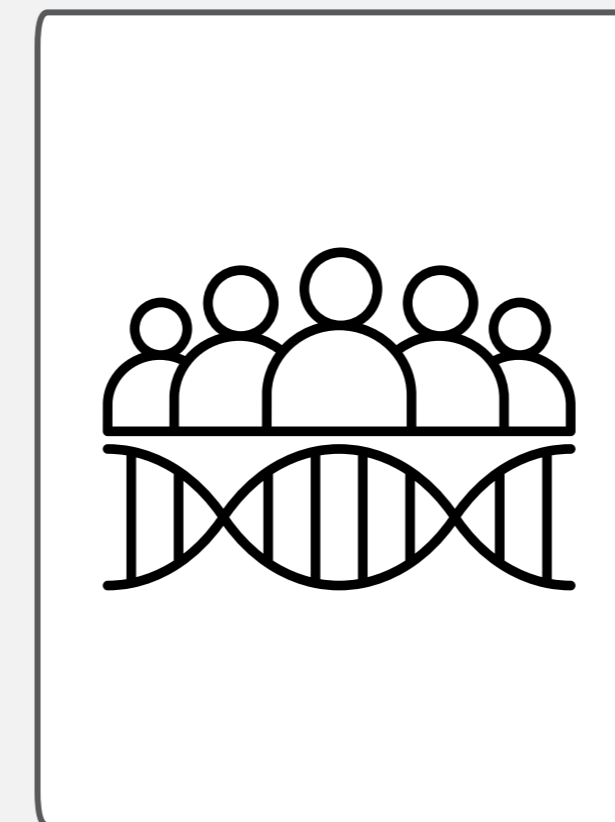
**Phylogenetic comparative method.**

→ What is the expected rate of evolution for a neutral trait?



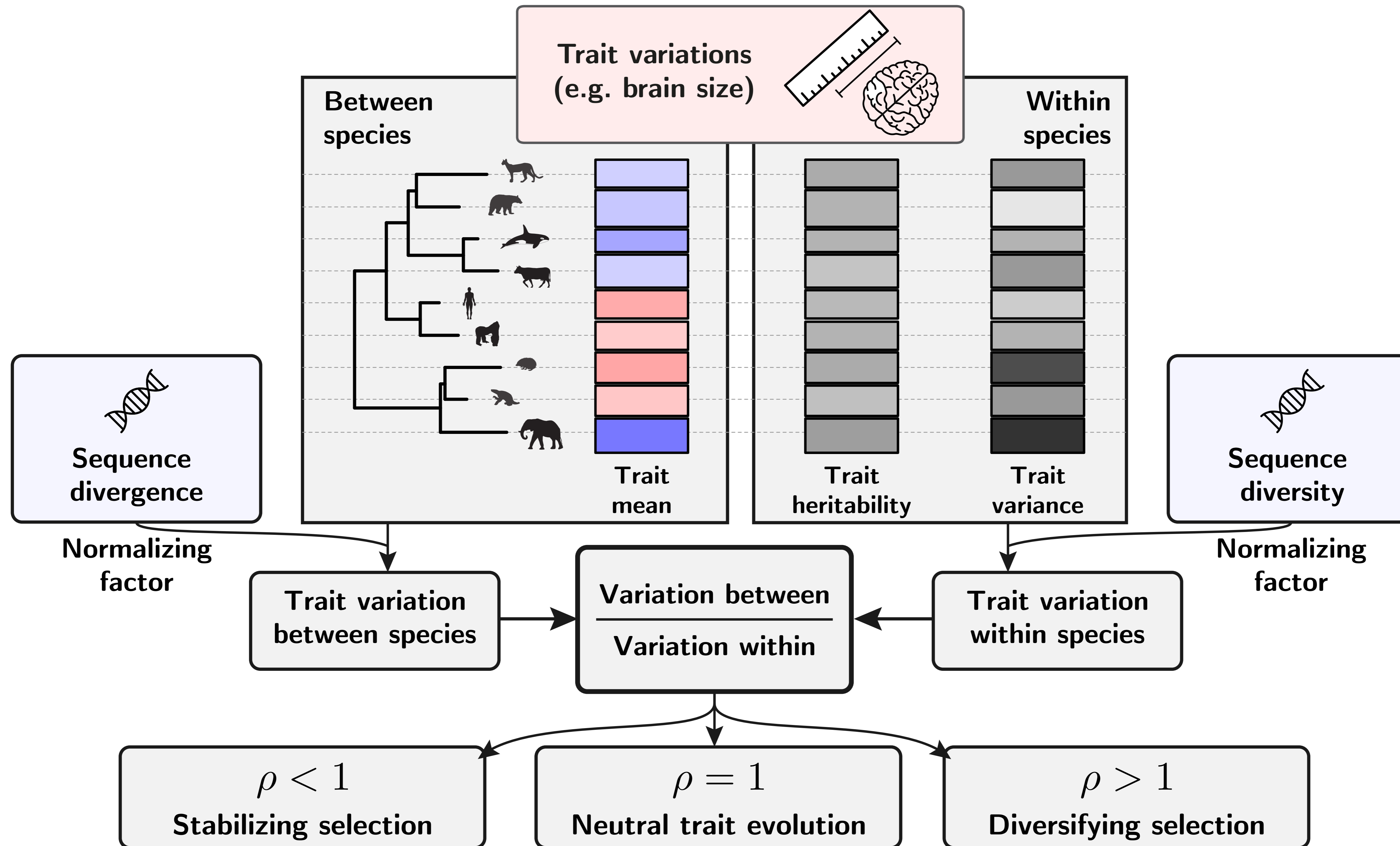
**Phylogenetic DNA evolution.**

→ How to derive a  $d_N/d_S$  ratio but for a trait instead of protein coding DNA sequences?



**Contrast polymorphism & divergence.**

→ How to adapt McDonald & Kreitman test ( $d_N/d_S > p_N/p_S$ ) for trait changes along a phylogeny?



- Ratio of between over within species trait variations
- Normalize using nucleotide variations (i.e. divergence and polymorphism)

# When are between and within species variations equal?

## Within species variation:

- $V_P$ : phenotypic variance.
- $h^2$ : heritability for the trait.
- $\pi$ : mutations per site between two haplotypes.

## Between species variation:

- $\text{cov}(\bar{P}_i, \bar{P}_j)$ : covariance in mean trait value between a pair of species.
- $d$ : shared nucleotide divergence between a pair of species.

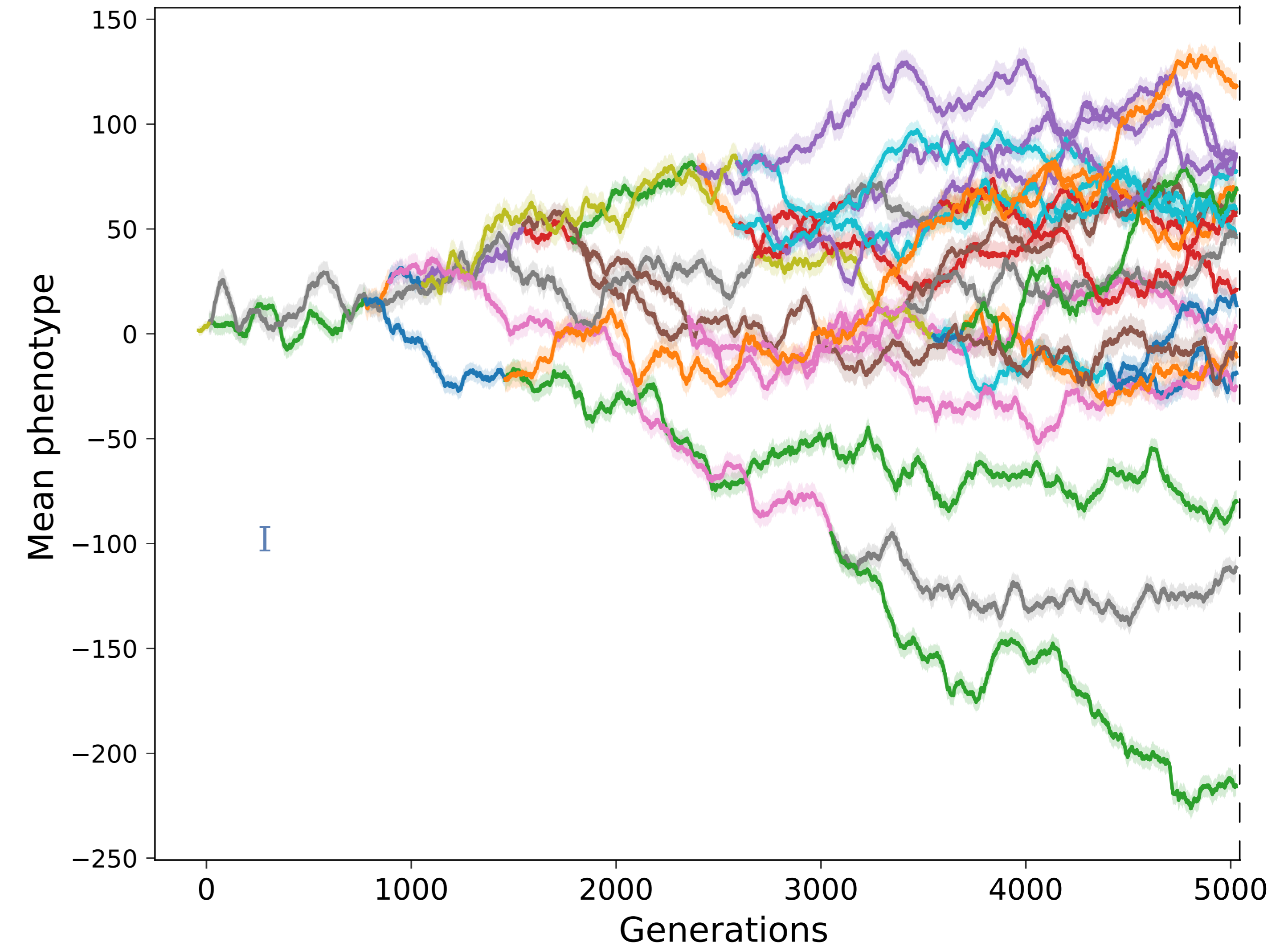
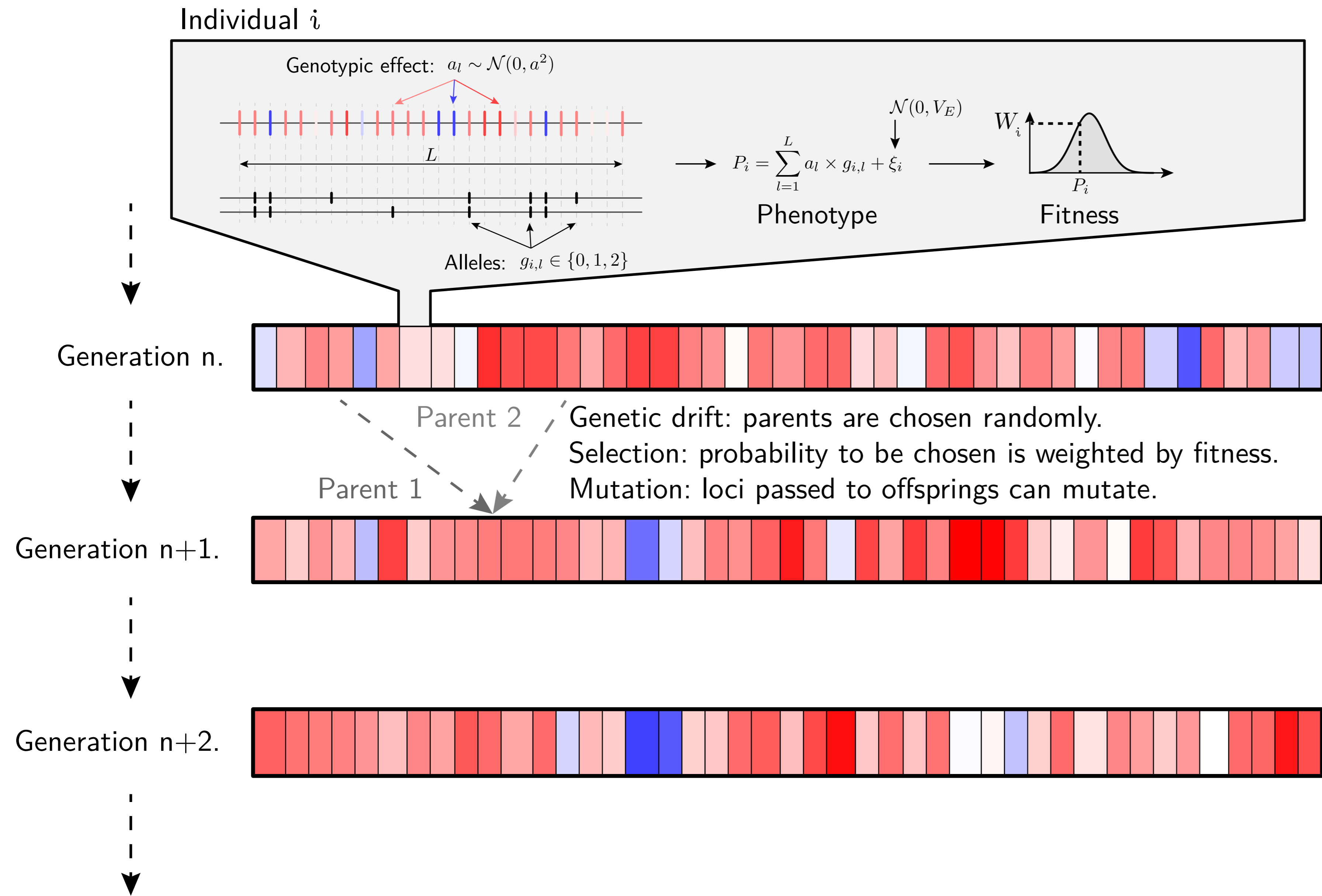
$$\begin{cases} \sigma_{\text{W}}^2 \stackrel{\text{def}}{=} \frac{V_P \cdot h^2}{\pi} = \frac{\cancel{4N_e} \cdot \mu \cdot \sigma_{\text{M}}^2}{4N_e \cdot \mu} = \sigma_{\text{M}}^2, \\ \sigma_{\text{B}}^2 \stackrel{\text{def}}{=} \frac{\text{cov}(\bar{P}_i, \bar{P}_j)}{4d} = \frac{\cancel{4t} \cdot \mu \cdot \sigma_{\text{M}}^2}{4t \cdot q} = \sigma_{\text{M}}^2. \end{cases} \implies \sigma_{\text{B}}^2 / \sigma_{\text{W}}^2 = 1.$$

- $\mu$ : mutation rate per generation.
- $N_e$ : effective population size.
- $q$ : substitution rate per generation.
- $\sigma_{\text{M}}^2$ : effect on the trait per mutation.

Lynch (1998); Hansen & Martins (1996); Kimura (1968); Tajima (1989)



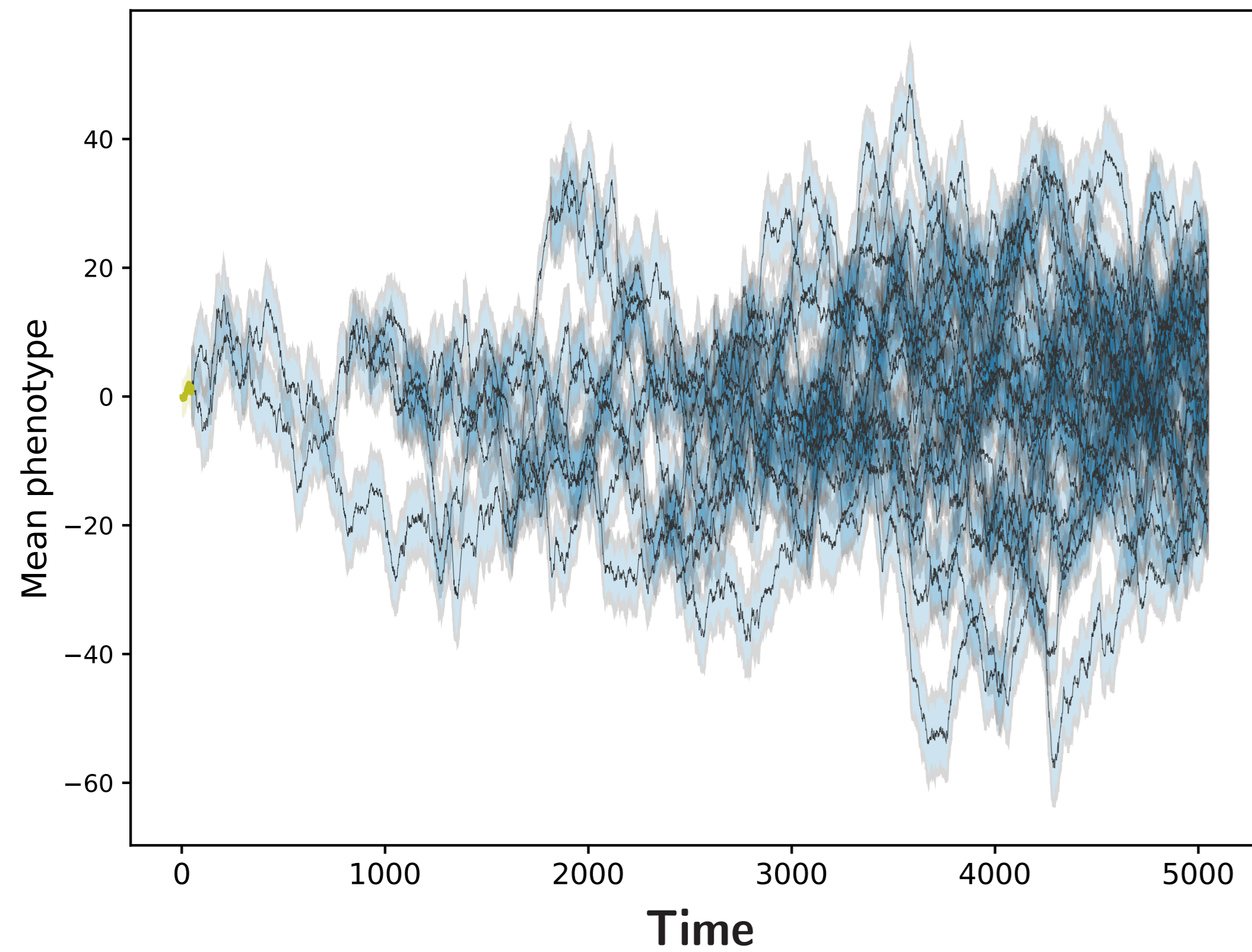
# Can we test our estimate against simulated data?



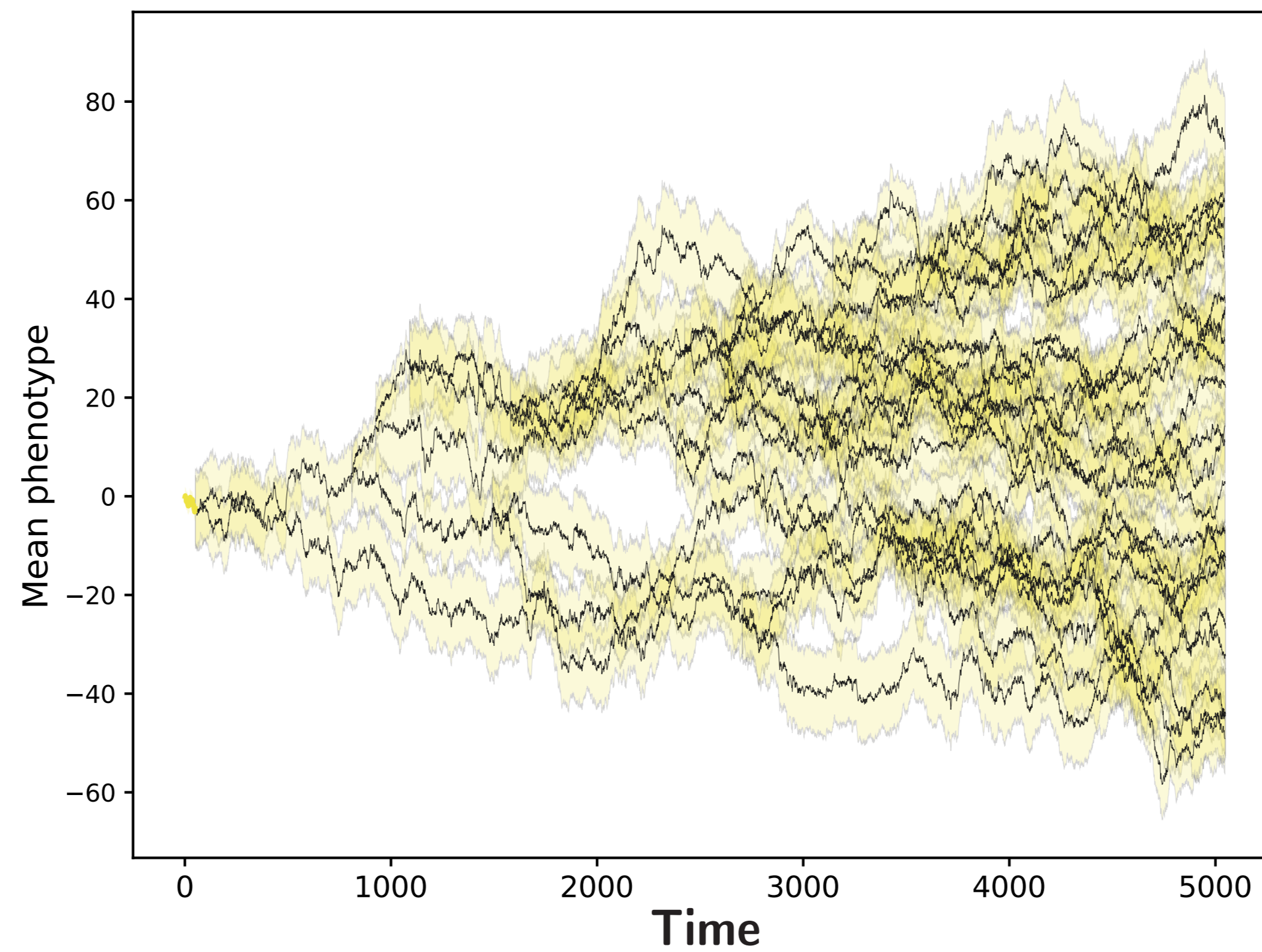
- Simulator across the phylogeny under different scenarios.


# Can we test our estimate against simulated data?

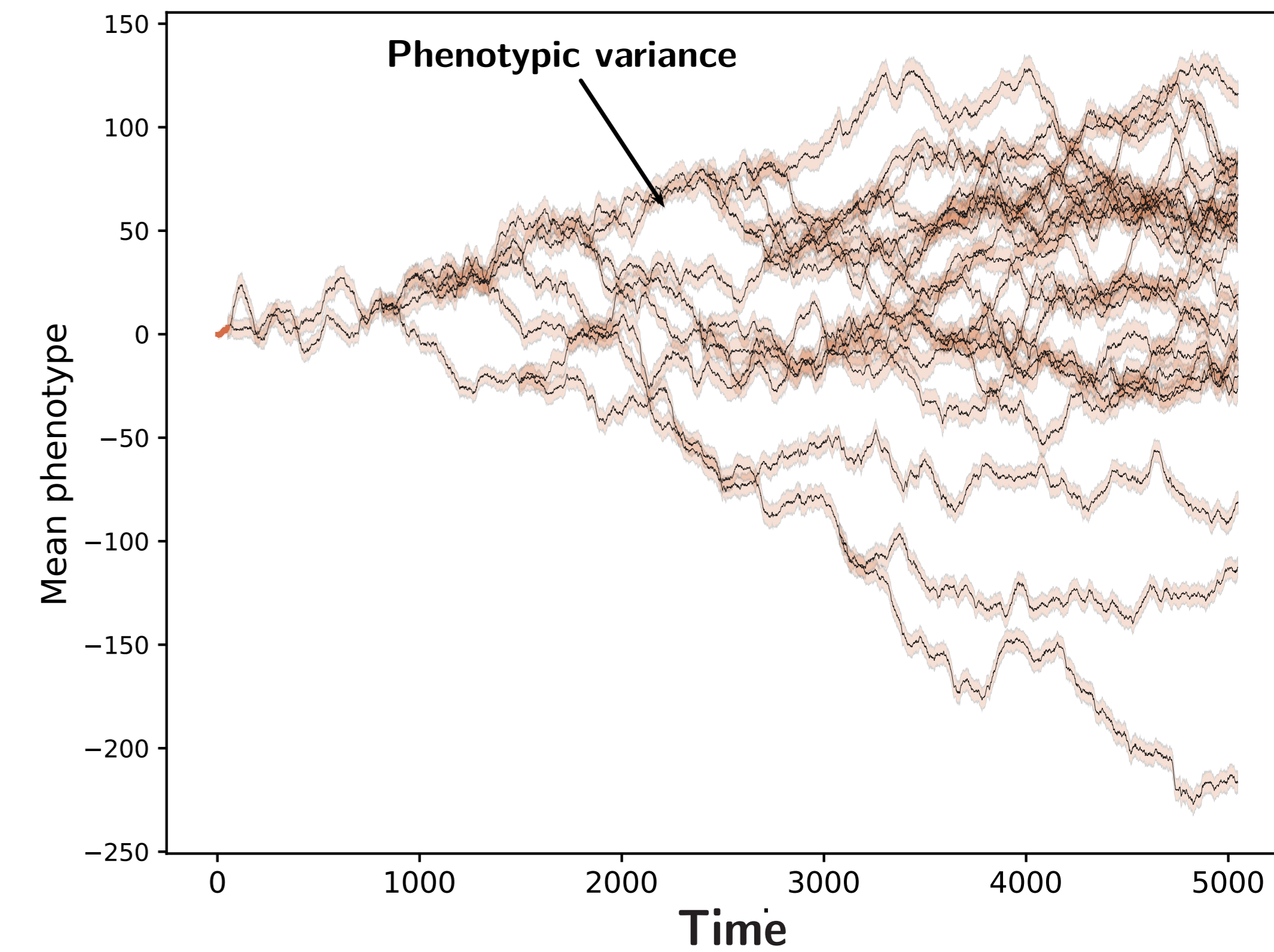
 **Stabilizing selection**  
An optimal value for the trait.



 **Neutral trait**  
No fitness function.

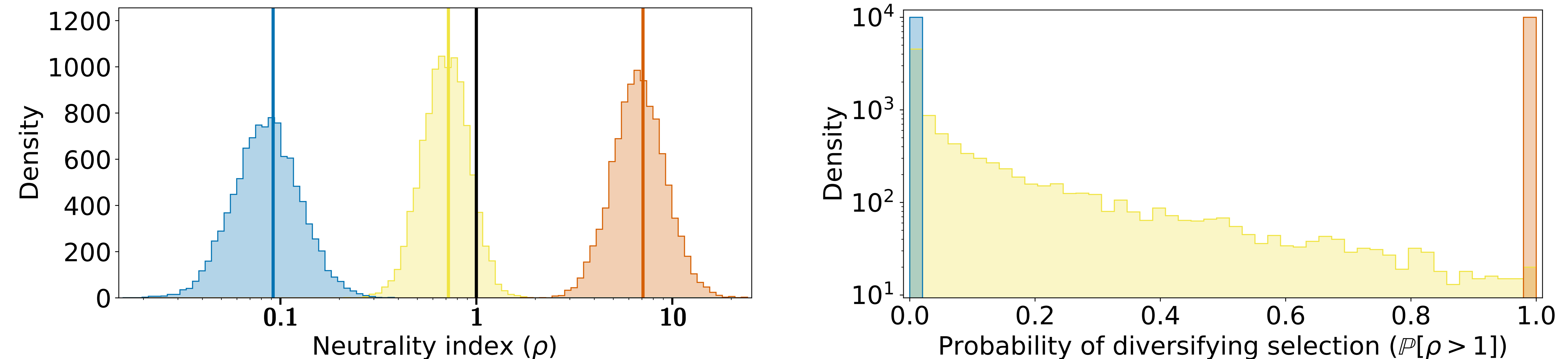
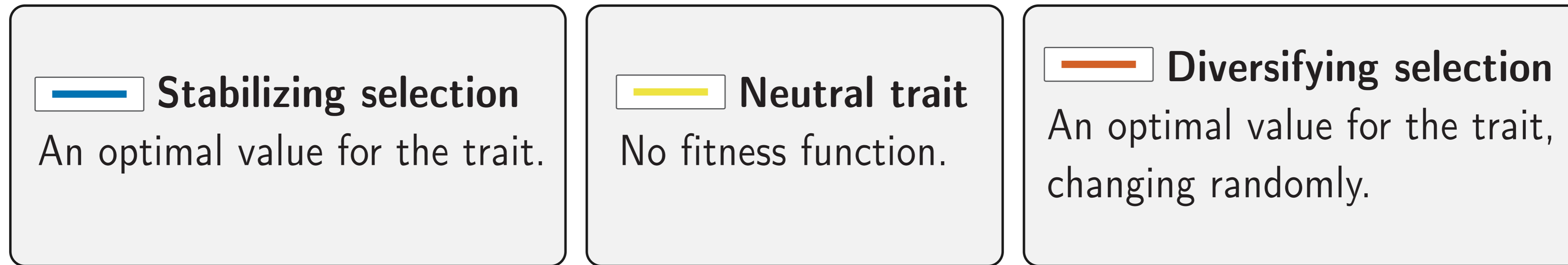


 **Diversifying selection**  
An optimal value for the trait, changing randomly.



- **Simulations across the phylogeny under different scenarios.**

# Test of neutrality against simulations



- Can test for diversifying selection acting on a trait.
- False positive for detection of stabilizing selection.

# Application to brain and body size in mammals

## ARTICLES

<https://doi.org/10.1038/s41559-018-0632-1>

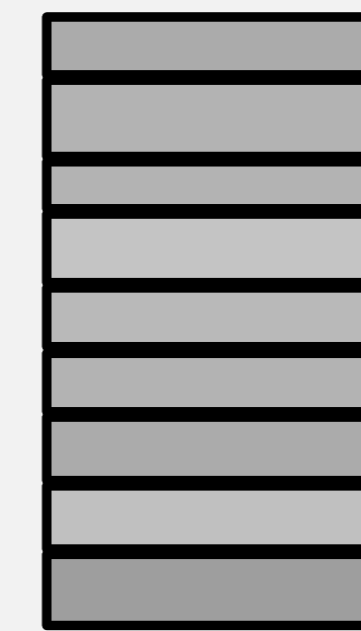
nature  
ecology & evolution

## Breakdown of brain-body allometry and the encephalization of birds and mammals

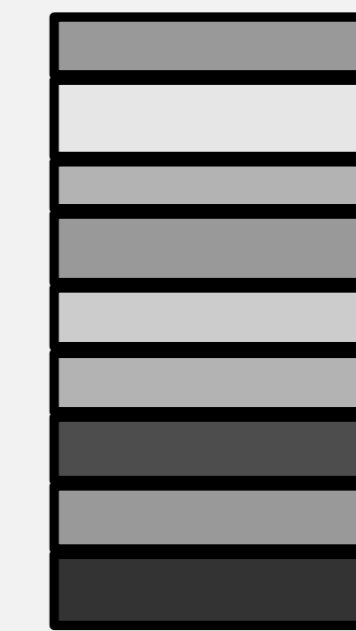
Masahito Tsuboi<sup>1,2,3\*</sup>, Wouter van der Bijl<sup>2</sup>, Bjørn Tore Kopperud<sup>1</sup>, Johannes Erritzøe<sup>4</sup>, Kjetil L. Voje<sup>1</sup>, Alexander Kotrschal<sup>2</sup>, Kara E. Yopak<sup>5,6</sup>, Shaun P. Collin<sup>6</sup>, Andrew N. Iwaniuk<sup>7</sup> and Niclas Kolm<sup>2</sup>



## Trait diversity



Trait heredity



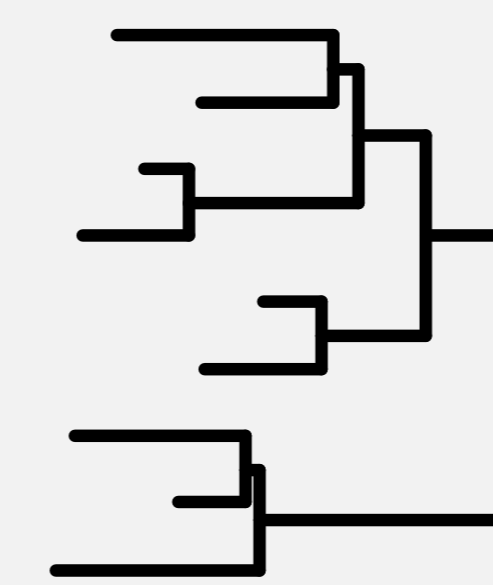
Trait variance



Trait mean



## Sequence diversity



Nucleotide divergence



Nucleotide diversity



$\rho$	Body size	Brain size
Males	1.24	4.53**
Females	0.94	6.00**

\*\*significant p-value with risk  $\alpha=0.05$

## RESEARCH ARTICLE SUMMARY

ZOONOMIA

### A genomic timescale for placental mammal evolution

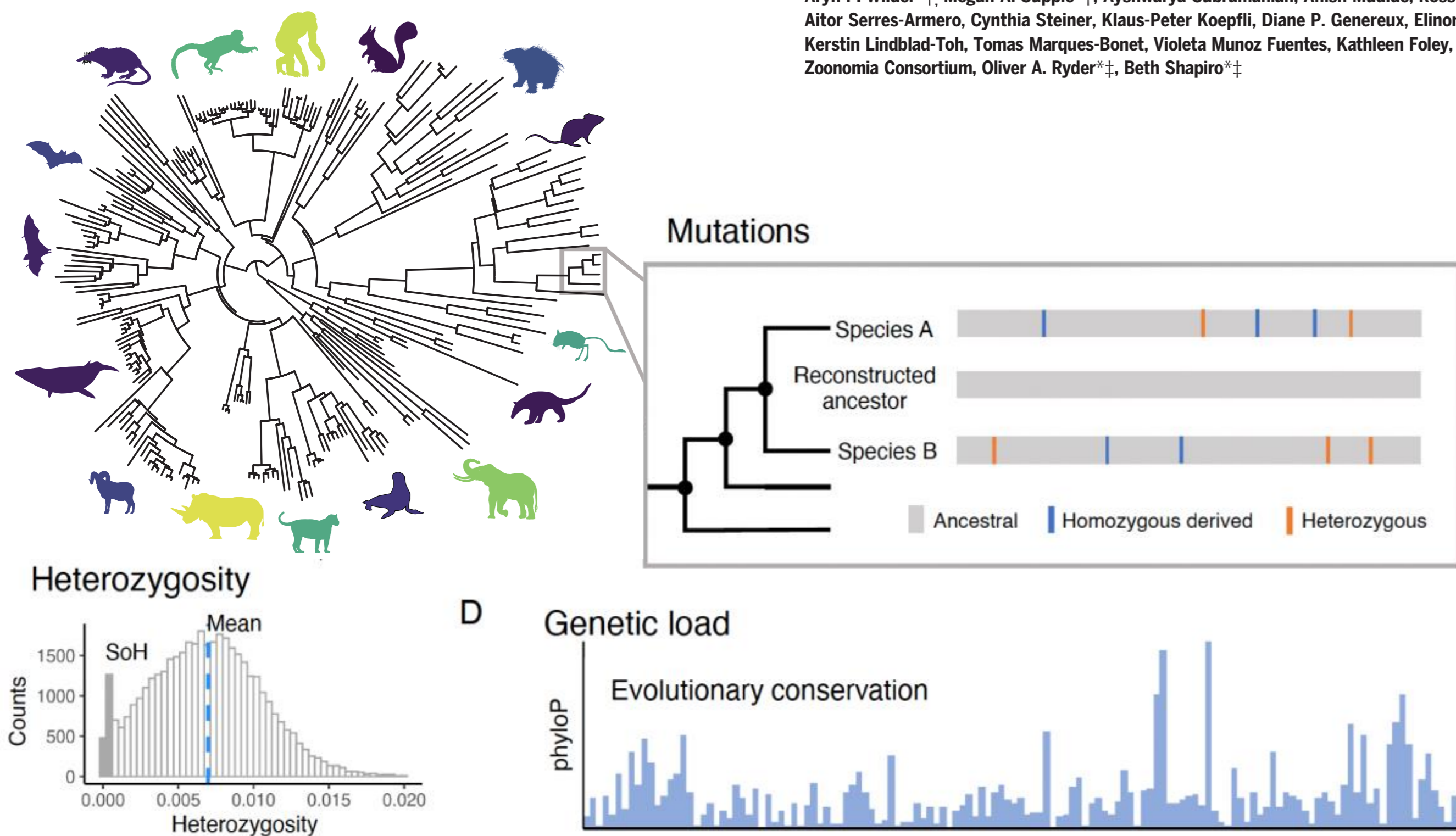
Nicole M. Foley et al.

## RESEARCH ARTICLE SUMMARY

ZOONOMIA

### The contribution of historical processes to contemporary extinction risk in placental mammals

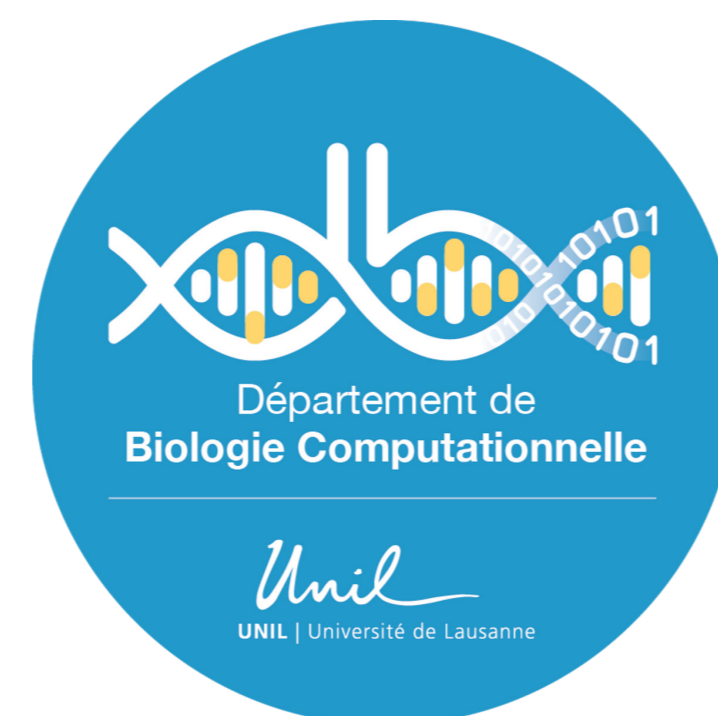
Aryn P. Wilder<sup>\*†</sup>, Megan A. Supple<sup>\*†</sup>, Ayshwarya Subramanian, Anish Mudide, Ross Swofford, Aitor Serres-Armero, Cynthia Steiner, Klaus-Peter Koepfli, Diane P. Genereux, Elinor K. Karlsson, Kerstin Lindblad-Toh, Tomas Marques-Bonet, Violeta Munoz Fuentes, Kathleen Foley, Wynn K. Meyer, Zoonomia Consortium, Oliver A. Ryder<sup>\*†</sup>, Beth Shapiro<sup>\*†</sup>



# Take home messages

- Use the ratio of between over within species trait variations.
- Normalized using nucleotide variations (i.e. divergence and polymorphism).
- Not good to detect of stabilizing selection (false positives).
- Good to detect diversifying selection.

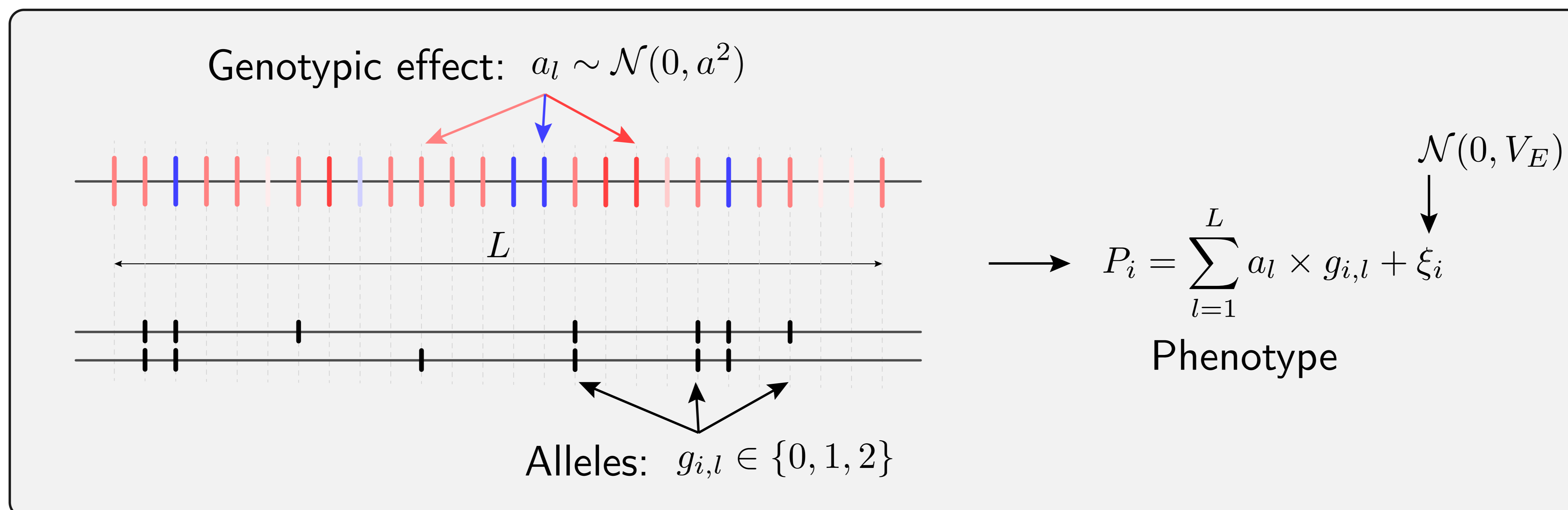
*Mélodie Bastian, Théo Gaboriau, Nicolas Salamin*



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Evolutionary Biology



# Genetic architecture of the trait and individual phenotype



- $L$  is the number of loci encoding the trait.
- $a_l \sim \mathcal{N}(0, a^2)$  is the effect of a mutation on the trait at locus  $l \in \{1, \dots, L\}$ .
- $g_{i,l} \in \{0, 1, 2\}$  is the genotype at locus  $l$  for individual  $i \in \{1, \dots, N_e\}$ .
- $G_i = \sum_{l=1}^L a_l \times g_{i,l}$  is the influence of genotype on the trait for individual  $i$ .

# Normalizing trait variation using polymorphism

For any neutral genomic region of interest, the genetic diversity ( $\pi$ ), the fraction of the region that are different between two randomly sampled haplotypes, is also a balance between mutations and drift<sup>[1]</sup>:

$$\pi = 4N_e \cdot \mu. \quad (3)$$

At the population level, we have:

$$\sigma_W^2 \stackrel{\text{def}}{=} \frac{V_A}{\pi} = \frac{4N_e \cdot \mu \cdot L \cdot a^2}{4N_e \cdot \mu} = L \cdot a^2. \quad (4)$$

$V_A$  is also the phenotypic variance ( $V_P$ ) multiplied by heritability ( $h^2$ ), giving:

$$\sigma_W^2 = \frac{V_P \cdot h^2}{\pi} = L \cdot a^2. \quad (5)$$

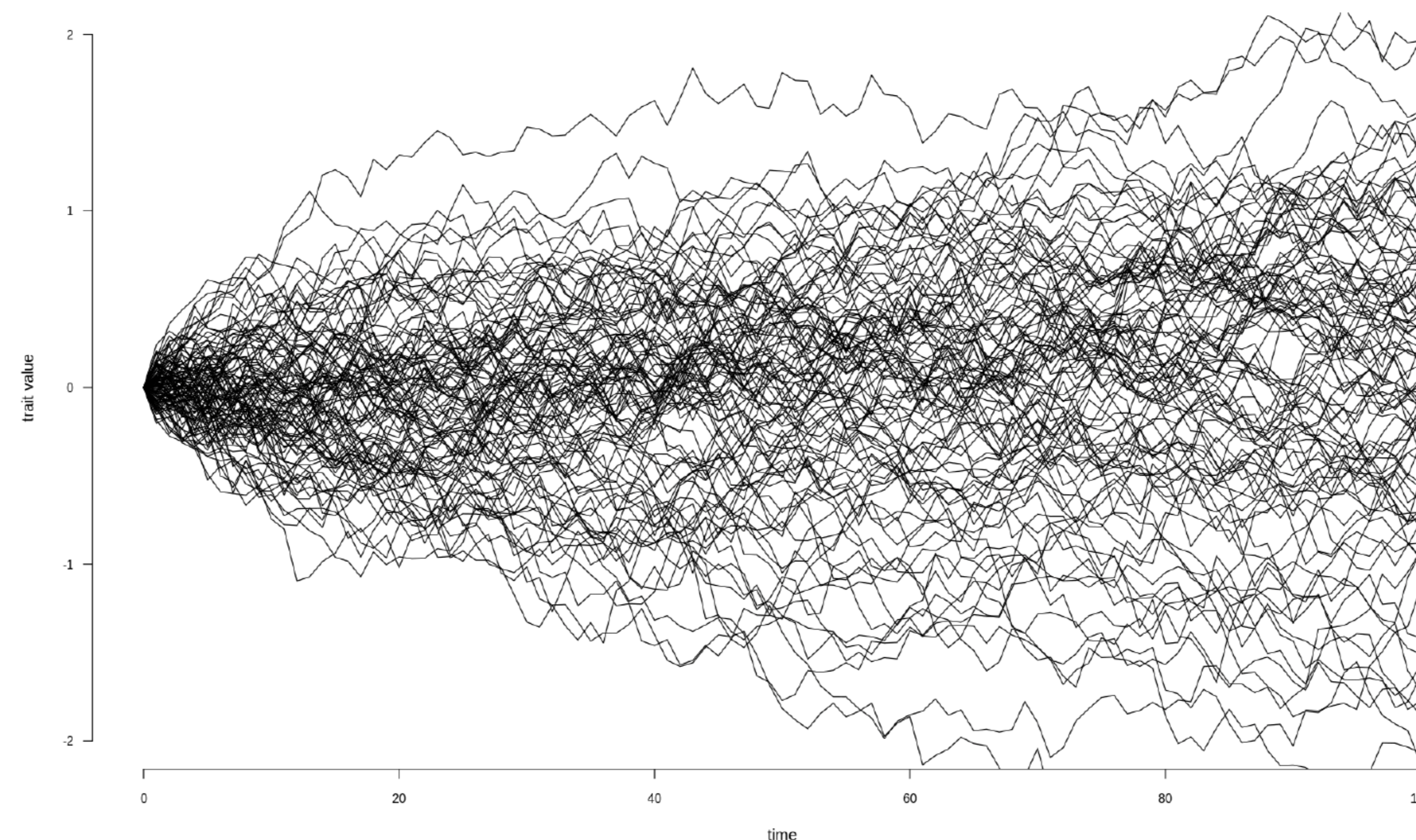
<sup>[1]</sup>Tajima (1989)

# Evolution of mean trait value as a function of trait architecture

$\bar{P}$  is the trait mean value. If the trait is neutral and encoded by many loci,  $\bar{P}$  evolves as Brownian process.  $\text{cov}(\bar{P}_i, \bar{P}_j)$  is the covariance in mean trait value between a pair of species<sup>[1]</sup>:

$$\text{cov}(\bar{P}_i, \bar{P}_j) = \frac{V_A}{N_e} \cdot t = \frac{4N_e \cdot \mu \cdot L \cdot a^2}{N_e} \cdot t, \quad (6)$$

$$= 4t \cdot \mu \cdot L \cdot a^2. \quad (7)$$



<sup>[1]</sup>Hansen & Martins (1996)



# Normalizing mean trait value evolution using divergence

For a neutral genomic region of interest, some mutations will eventually reach fixation in the population due to genetic drift ( $\mathbb{P}_{\text{fix}} = 1/2N_e$ )<sup>[1]</sup>, resulting in a substitution. The substitution rate per generation ( $q$ ) is<sup>[2,3]</sup>:

$$q = 2N_e \cdot \mu \cdot \mathbb{P}_{\text{fix}} = 2N_e \cdot \mu \cdot \frac{1}{2N_e} = \mu. \quad (8)$$

For  $t$  generations, the genetic distance ( $d$ ) measured as the number of substitution per site is:

$$d = t \cdot q = t \cdot \mu. \quad (9)$$

We thus have for a pair of species:

$$\sigma_B^2 \stackrel{\text{def}}{=} \frac{\text{cov}(\bar{P}_i, \bar{P}_j)}{4d} = \frac{4t \cdot \mu \cdot L \cdot a^2}{4t \cdot \mu} = L \cdot a^2. \quad (10)$$

<sup>[1]</sup>Kimura (1962); <sup>[2]</sup>Kimura (1968); <sup>[3]</sup>McCandlish & Stoltzfus (2014)<sup>[1]</sup>

# Estimation at the phylogenetic scale

## Input:

- $n$  is the number of taxa.
- $\mathbf{D}$  ( $n \times n$ ) is the symmetric distance matrix computed from the branch lengths and the topology of the phylogenetic tree.
- $\bar{\mathbf{P}}$  ( $n \times 1$ ) is the vector of mean trait values at the tips of the tree.
- $\mathbf{1}$  ( $n \times 1$ ) is a vector of ones.

## Output:

- $\phi$  is the estimate mean trait value at the root.
- $\sigma_{\text{B}}^2$  is the estimated trait variance measured at the phylogenetic scale.

At the phylogenetic scale, the maximum likelihood estimates of  $\phi$  and  $\sigma_{\text{B}}^2$  are<sup>[1]</sup>:

$$\begin{cases} \phi = (\mathbf{1}^\top \times \mathbf{D}^{-1} \times \mathbf{1})^{-1} \cdot (\mathbf{1}^\top \times \mathbf{D}^{-1} \times \bar{\mathbf{P}}), \\ \sigma_{\text{B}}^2 = \frac{1}{4} \frac{(\bar{\mathbf{P}} - \phi \cdot \mathbf{1})^\top \times \mathbf{D}^{-1} \times (\bar{\mathbf{P}} - \phi \cdot \mathbf{1})}{n - 1}. \end{cases} \quad (11)$$

<sup>[1]</sup>O'Meara *et al.* (2006)