

A phylogenetic mutation-selection model predicts fitness effects of mutations in extant mammals

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T. Latrille^{1,2}, N. Rodrigue³, N. Lartillot², J. Joseph², D. A. Hartasánchez², N. Salamin¹

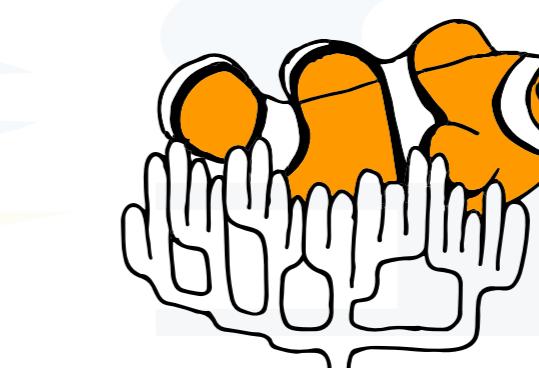
¹*Université de Lausanne (Switzerland)*

²*Université de Lyon (France)*

³*Carlton University, Ottawa (Canada)*

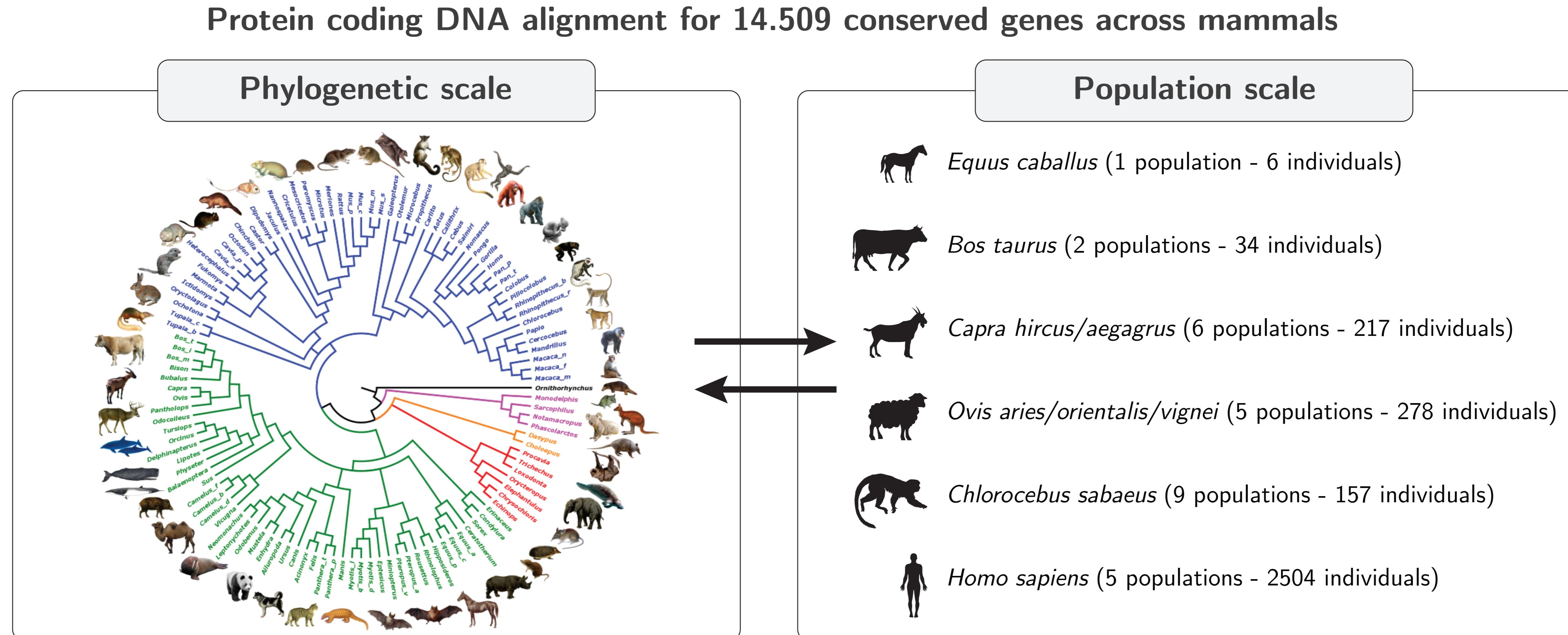


Département de
Biologie Computationnelle



thibault.latrille@unil.ch

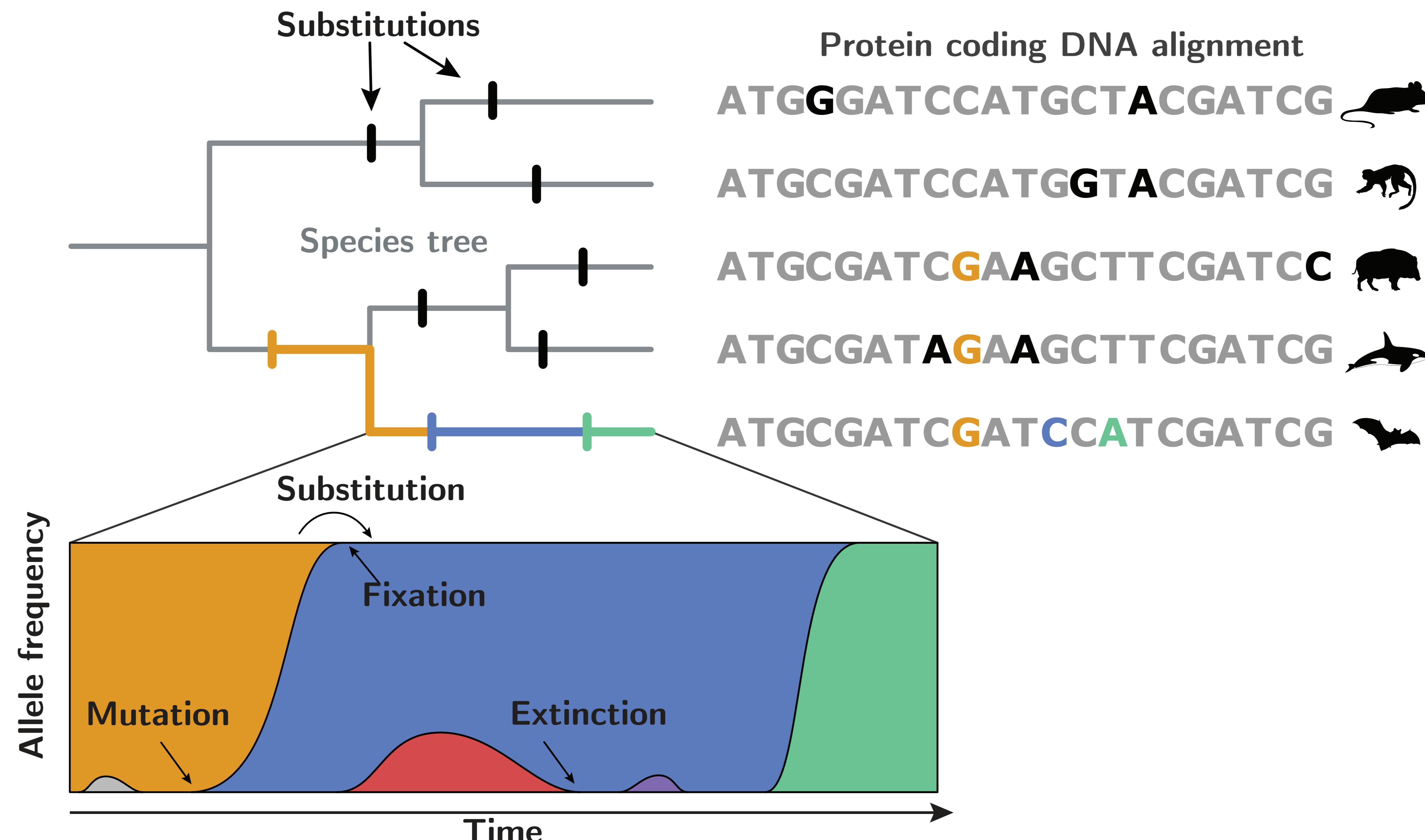
Evolution at different time scales



- Can phylogenetic models be derived from population-genetic principles?
- Can such model be used to disentangle mutation, selection and drift?

Scornavacca *et al* (2019); Howe *et al* (2021)

Can phylogenetic models be derived from population-genetic principles?



How to derive substitution rate as a function of mutation, selection and drift?

Kimura (1969), Otha (1982)

Substitution rate for neutral mutations

- N_e is the number of diploid individuals.
- q is the substitution rate of new alleles.
- μ is the mutation rate of new alleles.
- \mathbb{P}_{fix} is the probability of fixation of new allele.

$$\begin{aligned} q &= 2N_e \times \mu \times \mathbb{P}_{\text{fix}}, \\ &= 2N_e \times \mu \times \frac{1}{2N_e}, \\ &= \mu. \end{aligned}$$

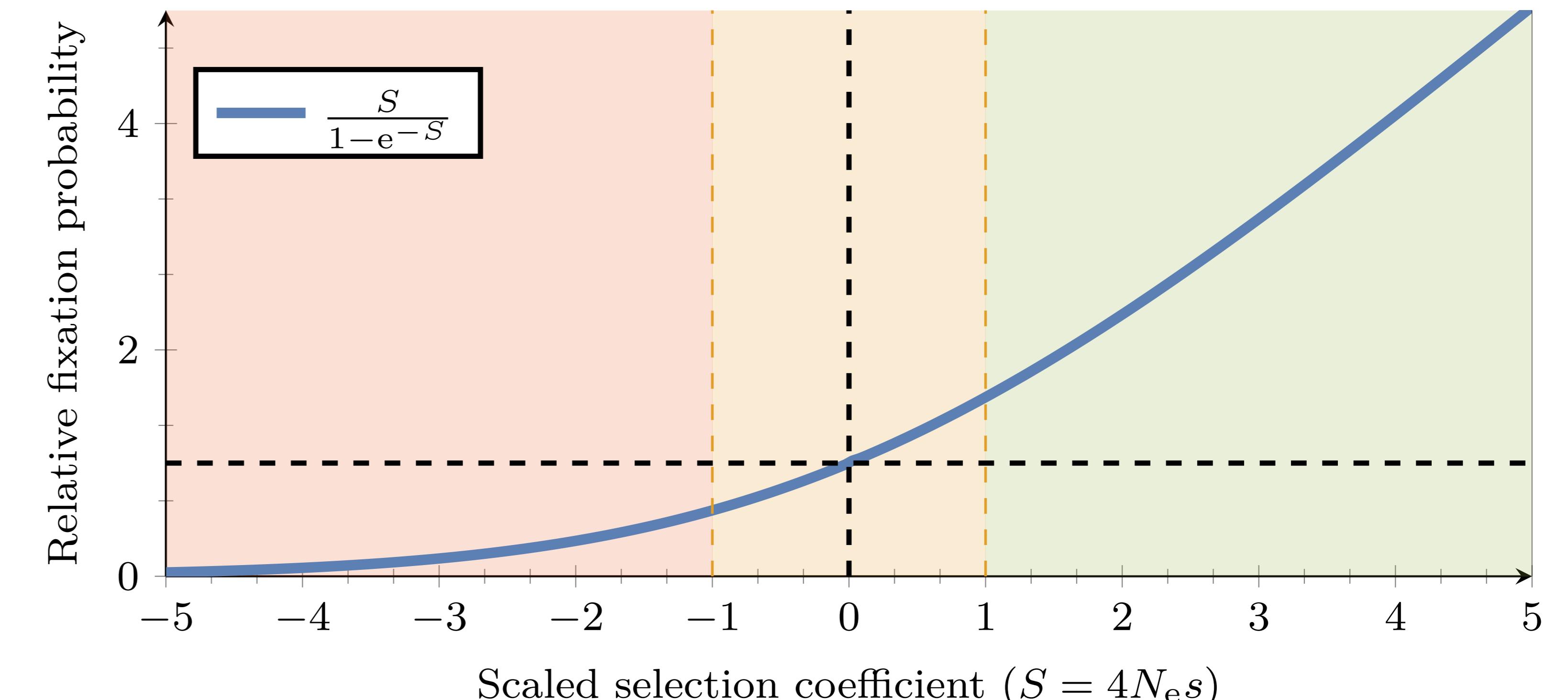
The substitution rate equals to the mutation rate

Kimura (1969), Otha (1982)

Substitution rate for mutations under selection

- N_e is the number of diploid individuals.
- q is the substitution rate of new alleles.
- μ is the mutation rate of new alleles.
- $\mathbb{P}_{\text{fix}}(s)$ is the probability of fixation of new allele, with selection coefficient s .

$$\begin{aligned} q &= 2N_e \times \mu \times \mathbb{P}_{\text{fix}}(s), \\ &= 2N_e \times \mu \times \frac{2s}{1 - e^{-4N_e s}}, \\ &= \mu \times \frac{S}{1 - e^{-S}} \text{ with } S = 4N_e s. \end{aligned}$$



The substitution rate is a function of mutation rate and scaled selection

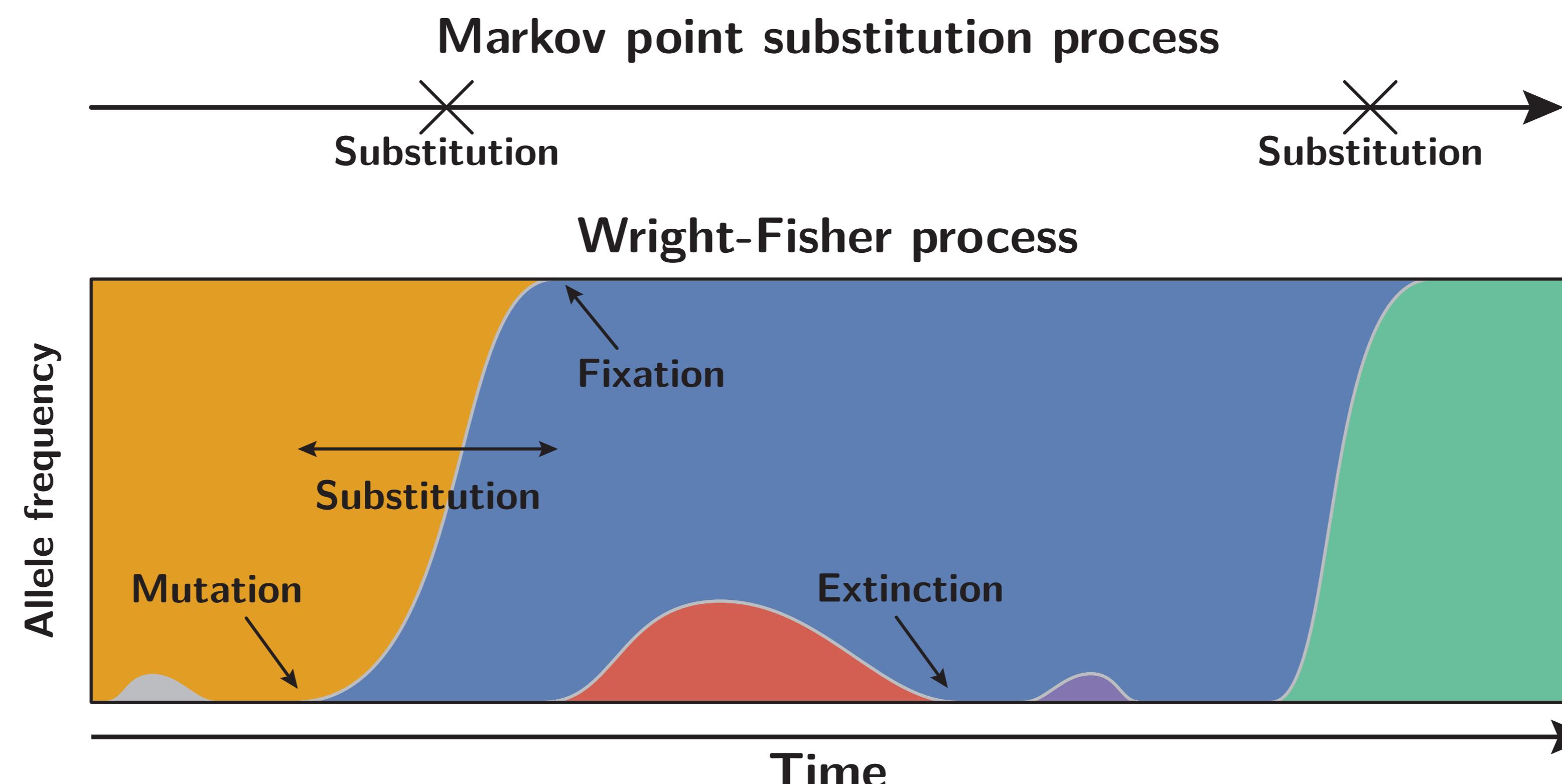
Kimura (1969), Otha (1982)

The mutation-selection process

Markov process with k states, with transition rate from a to b given as:

$$q_{a \rightarrow b} = \mu_{a \rightarrow b} \frac{F_b - F_a}{1 - e^{F_a - F_b}},$$

where $\mu_{a \rightarrow b}$ is the mutational rate from a to b , and F_a the scaled fitness of a .



Halpern & Bruno (1998); McCandlish (2014)

Stationary distribution of the mutation-selection process

Markov process with k states, with transition rate from $\textcolor{blue}{a}$ to $\textcolor{brown}{b}$ given as:

$$q_{\textcolor{blue}{a} \rightarrow \textcolor{brown}{b}} = \mu_{\textcolor{blue}{a} \rightarrow \textcolor{brown}{b}} \frac{F_{\textcolor{brown}{b}} - F_{\textcolor{blue}{a}}}{1 - e^{F_{\textcolor{blue}{a}} - F_{\textcolor{brown}{b}}}}, \quad (1)$$

Assuming that the mutational process is time-reversible, the detailed balance for the stationary distribution of the mutation process (σ) satisfy:

$$\frac{\mu_{\textcolor{brown}{b} \rightarrow \textcolor{blue}{a}}}{\mu_{\textcolor{blue}{a} \rightarrow \textcolor{brown}{b}}} = \frac{\sigma_{\textcolor{blue}{a}}}{\sigma_{\textcolor{brown}{b}}} \quad (2)$$

Then, the Markov process for substitutions is time-reversible, the detailed balance for the stationary distribution (π) satisfy:

$$\frac{\pi_{\textcolor{blue}{a}}}{\pi_{\textcolor{brown}{b}}} = \frac{q_{\textcolor{brown}{b} \rightarrow \textcolor{blue}{a}}}{q_{\textcolor{blue}{a} \rightarrow \textcolor{brown}{b}}} = \frac{\mu_{\textcolor{brown}{b} \rightarrow \textcolor{blue}{a}}}{\mu_{\textcolor{blue}{a} \rightarrow \textcolor{brown}{b}}} \frac{e^{F_{\textcolor{blue}{a}}}}{e^{F_{\textcolor{brown}{b}}}} = \frac{\sigma_{\textcolor{blue}{a}}}{\sigma_{\textcolor{brown}{b}}} \frac{e^{F_{\textcolor{blue}{a}}}}{e^{F_{\textcolor{brown}{b}}}}. \quad (3)$$

The stationary distribution π is:

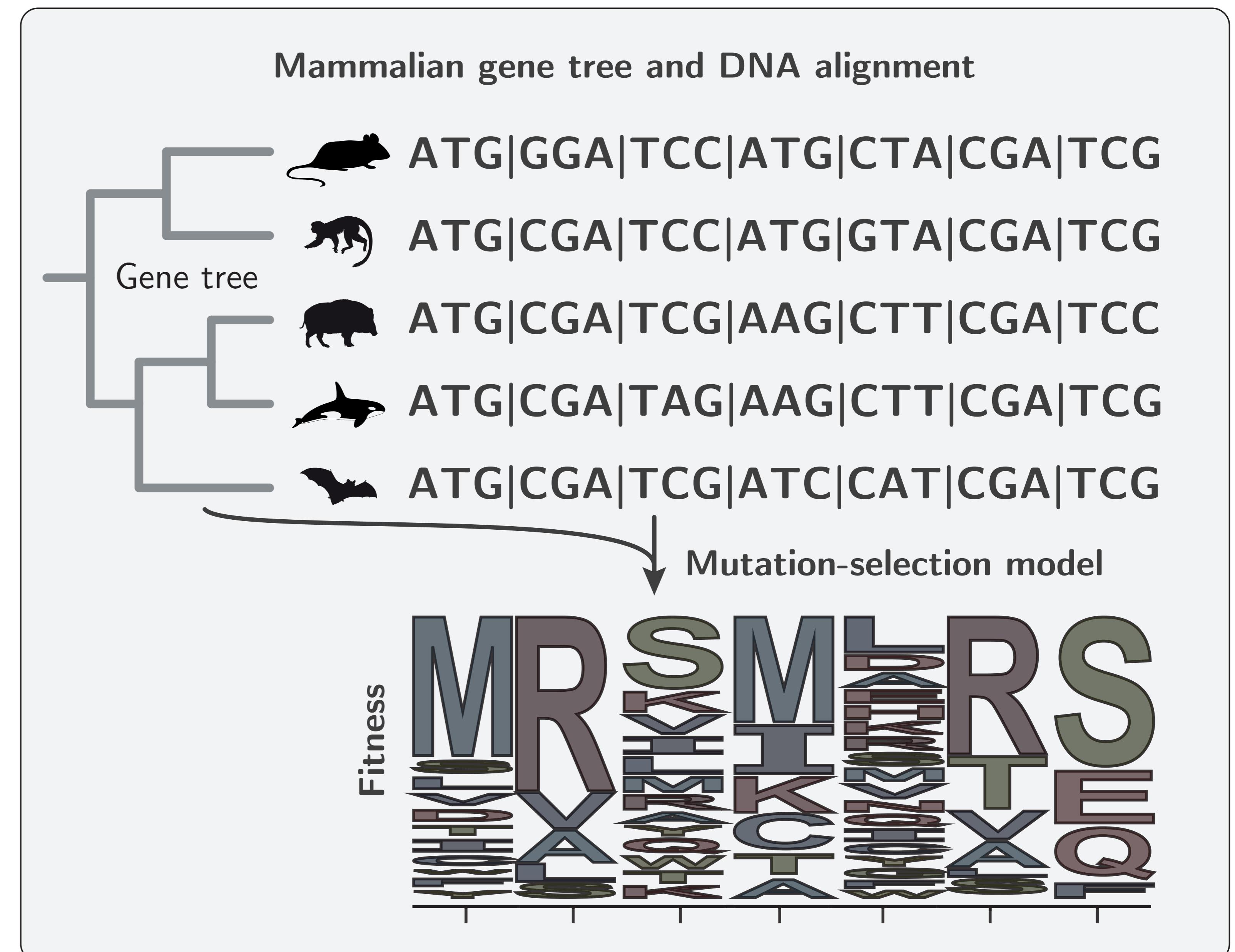
$$\pi_{\textcolor{blue}{a}} = \frac{\sigma_{\textcolor{blue}{a}} e^{F_{\textcolor{blue}{a}}}}{\sum_{\textcolor{brown}{b}=1}^k \sigma_{\textcolor{brown}{b}} e^{F_{\textcolor{brown}{b}}}}. \quad (4)$$

Halpern & Bruno (1998); McCandlish (2014)

Mutation-selection models applied to protein coding DNA sequences

- $\mu_{a \rightarrow b}$: mutation rate from codon a to b .
- $q_{a \rightarrow b}$: substitution rate from codon a to b .
- F_a : scaled fitness of the amino-acid encoded by codon a (F_b for codon b).

$$\begin{cases} q_{a \rightarrow b} = \mu_{a \rightarrow b} & \text{if synonymous,} \\ q_{a \rightarrow b} = \mu_{a \rightarrow b} \times \frac{F_b - F_a}{1 - e^{F_a - F_b}} & \text{if non-synonymous.} \end{cases}$$



- **Input:** alignment of protein-coding DNA sequences and phylogenetic tree.
- **Output:** amino-acid fitness profiles estimated by mutation-selection models.

Halpern & Bruno (1998); Tamuri & Goldstein (2012); Rodrigue & Lartillot (2017); Rodrigue et al (2021)

The phylogenetic mutation-selection codon model as a bridge between phylogenetics and population-genetics

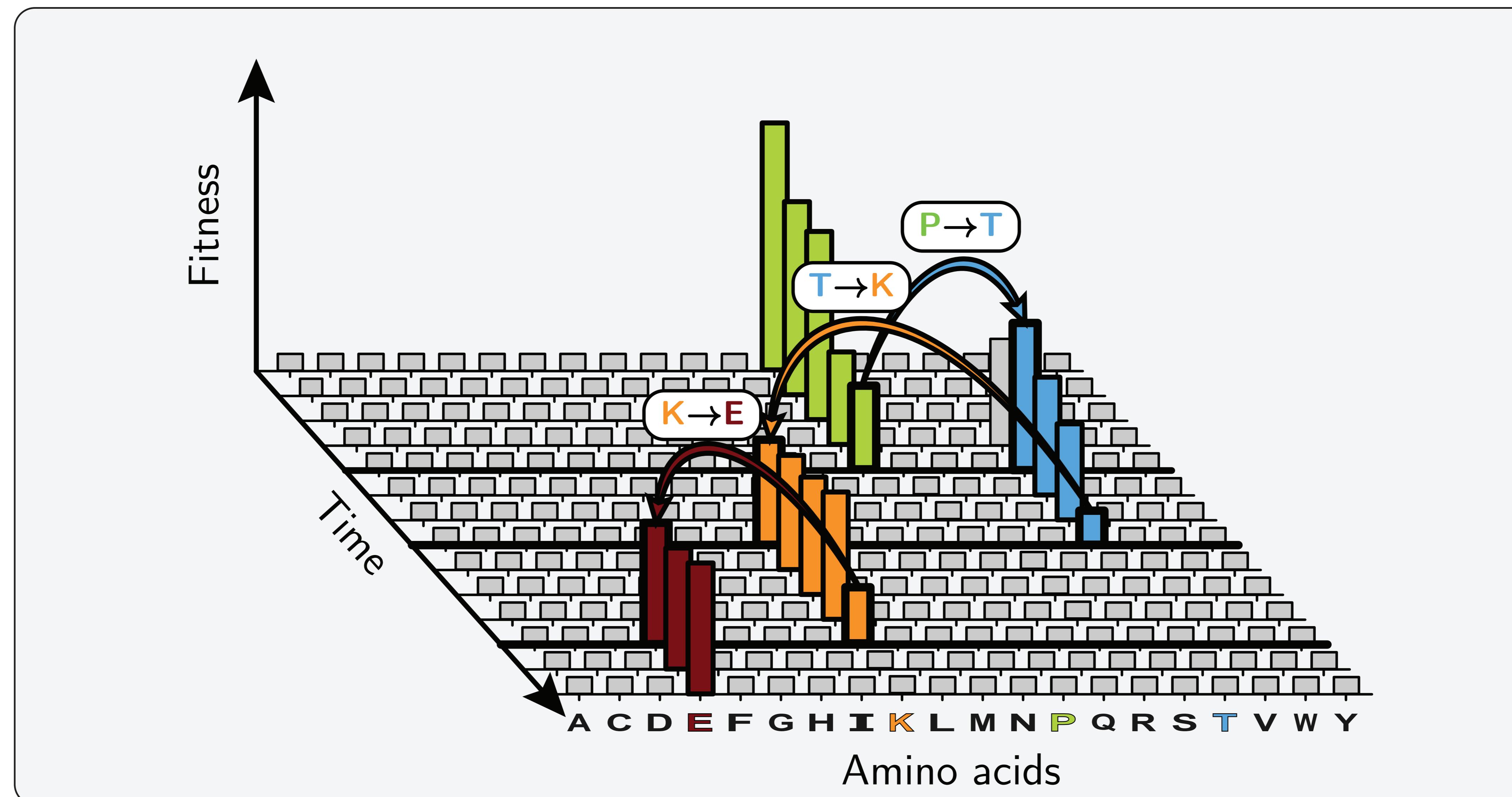
I. Mutation-selection models to detect adaptation

- Is adaptation congruent at the phylogenetic and population-genetic scale?
- *Latrille, Rodrigue & Lartillot, PNAS (2023)*.

II. Mutation-selection models to predict selection coefficient of mutations

- Is a new mutation deleterious, nearly-neutral or beneficial?
- *Latrille, Joseph, Hartasánchez & Salamin, in prep.*

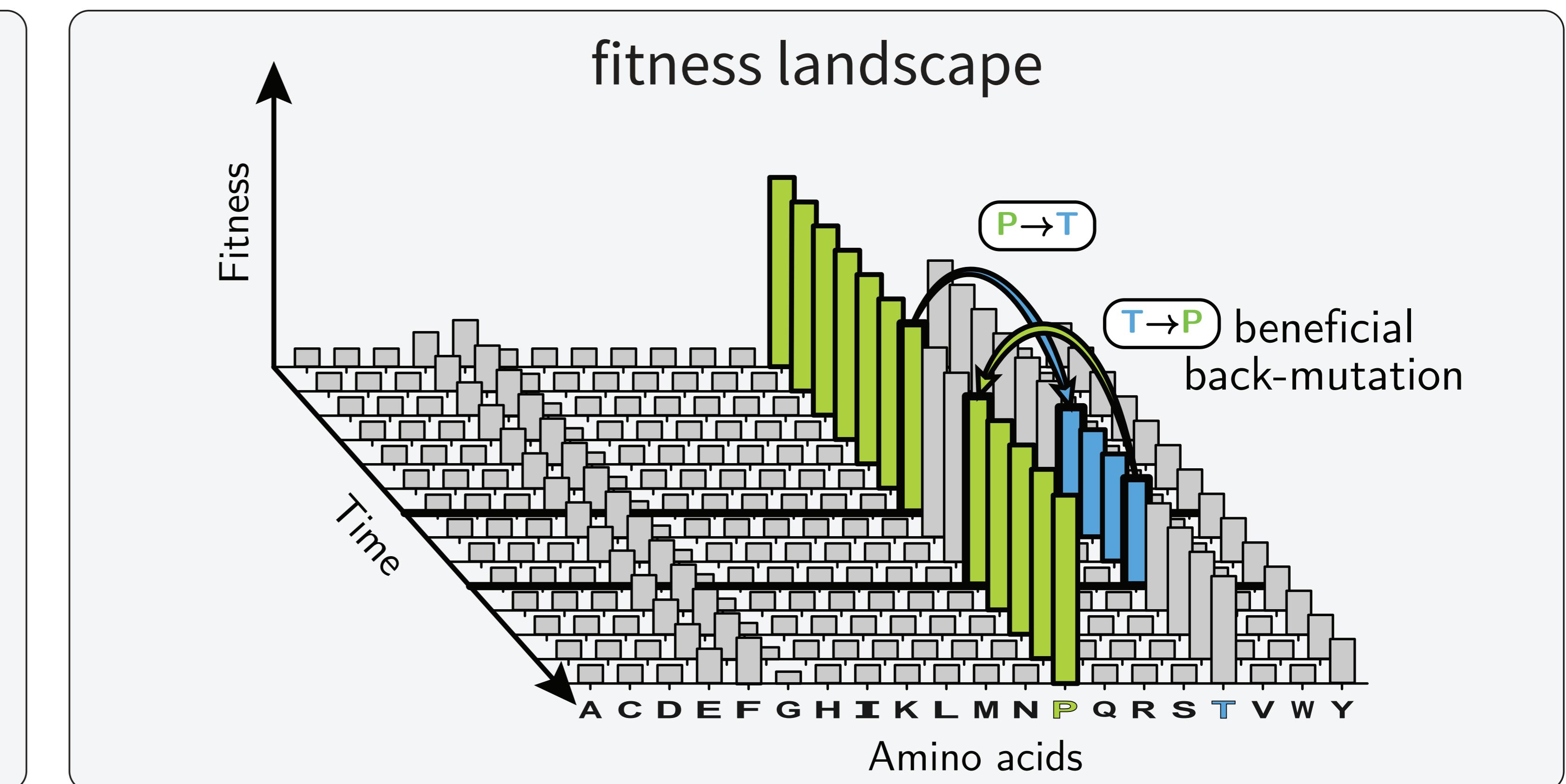
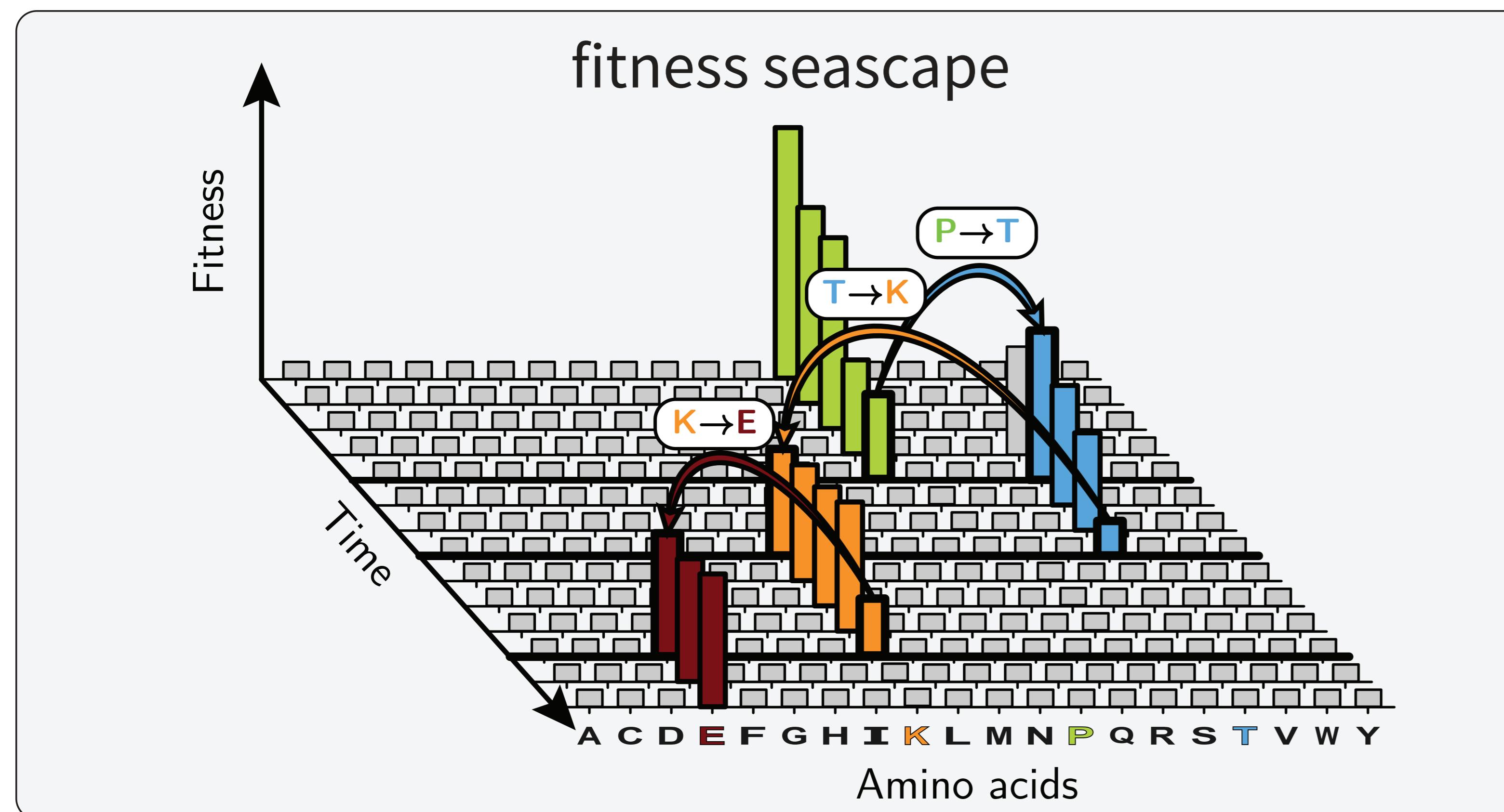
What is adaptation?



- Environmental changes that are external to the genome.
 - The optimal state is a moving target.

Sella & Hirsh (2005); Mustonen & Lässig (2009)

Fitness landscapes and seascapes



- The mutation-selection model is null model of evolution without adaptation

Sella & Hirsh (2005); Mustonen & Lässig (2009)

Predicting the rate of evolution of a gene

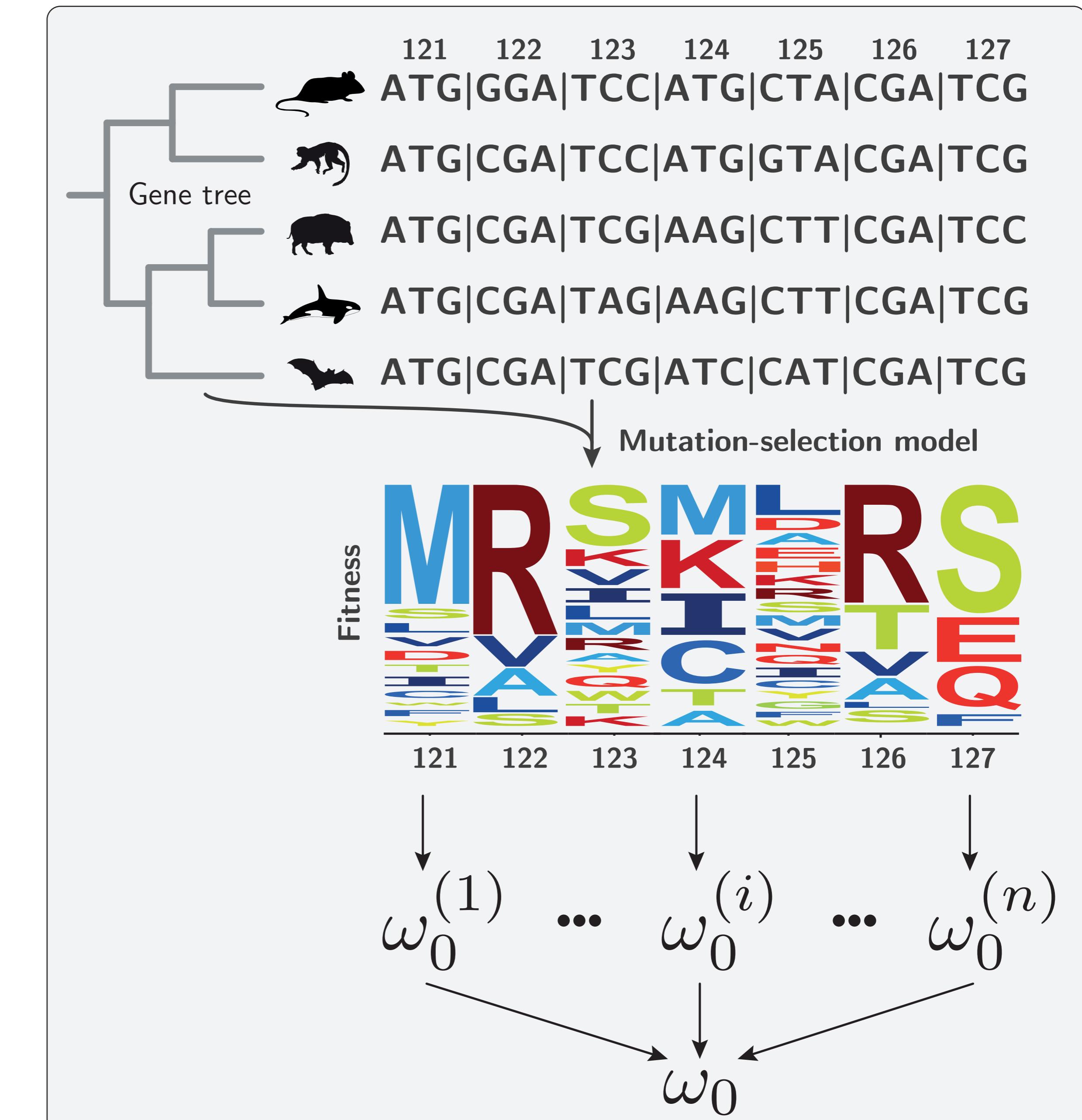
- $\mu_{a \rightarrow b}$: mutation rate from codon a to b .
- $q_{a \rightarrow b}^{(i)}$: substitution rate from codon a to b at site i .
- $\pi_a^{(i)}$: equilibrium frequency of codon a at site i .

$$\omega_0^{(i)} = \frac{\langle \pi_a^{(i)} q_{a \rightarrow b}^{(i)} \rangle}{\langle \pi_a^{(i)} \mu_{a \rightarrow b} \rangle},$$

$$\Rightarrow \omega_0 = \frac{1}{n} \sum_{i=1}^n \omega_0^{(i)}.$$

- $\langle \cdot \rangle$ is the average over all pairs of non-synonymous codons.
- n : number of codon sites in the DNA alignment.

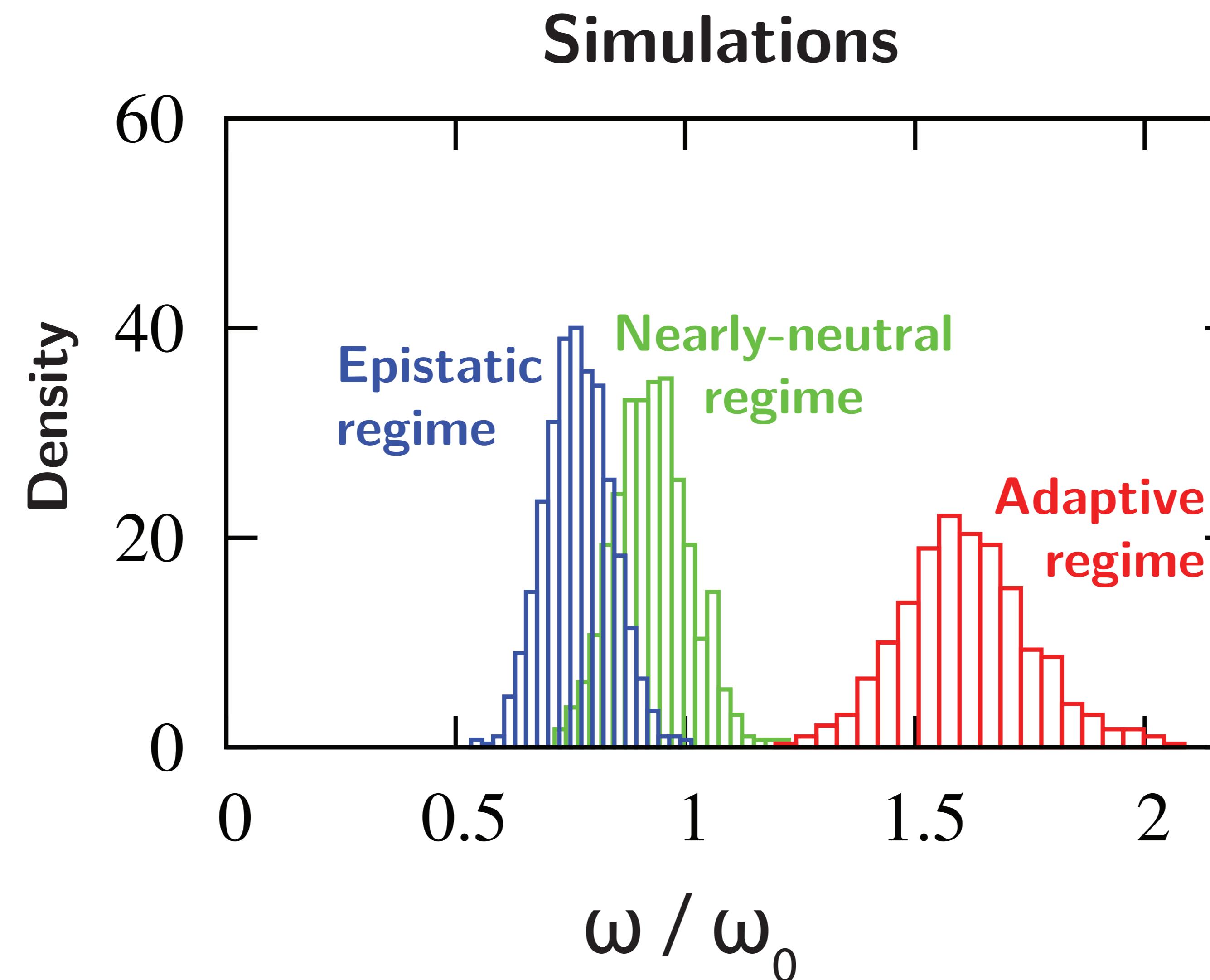
- ω_0 : predicted rate of evolution under the mutation-selection model.



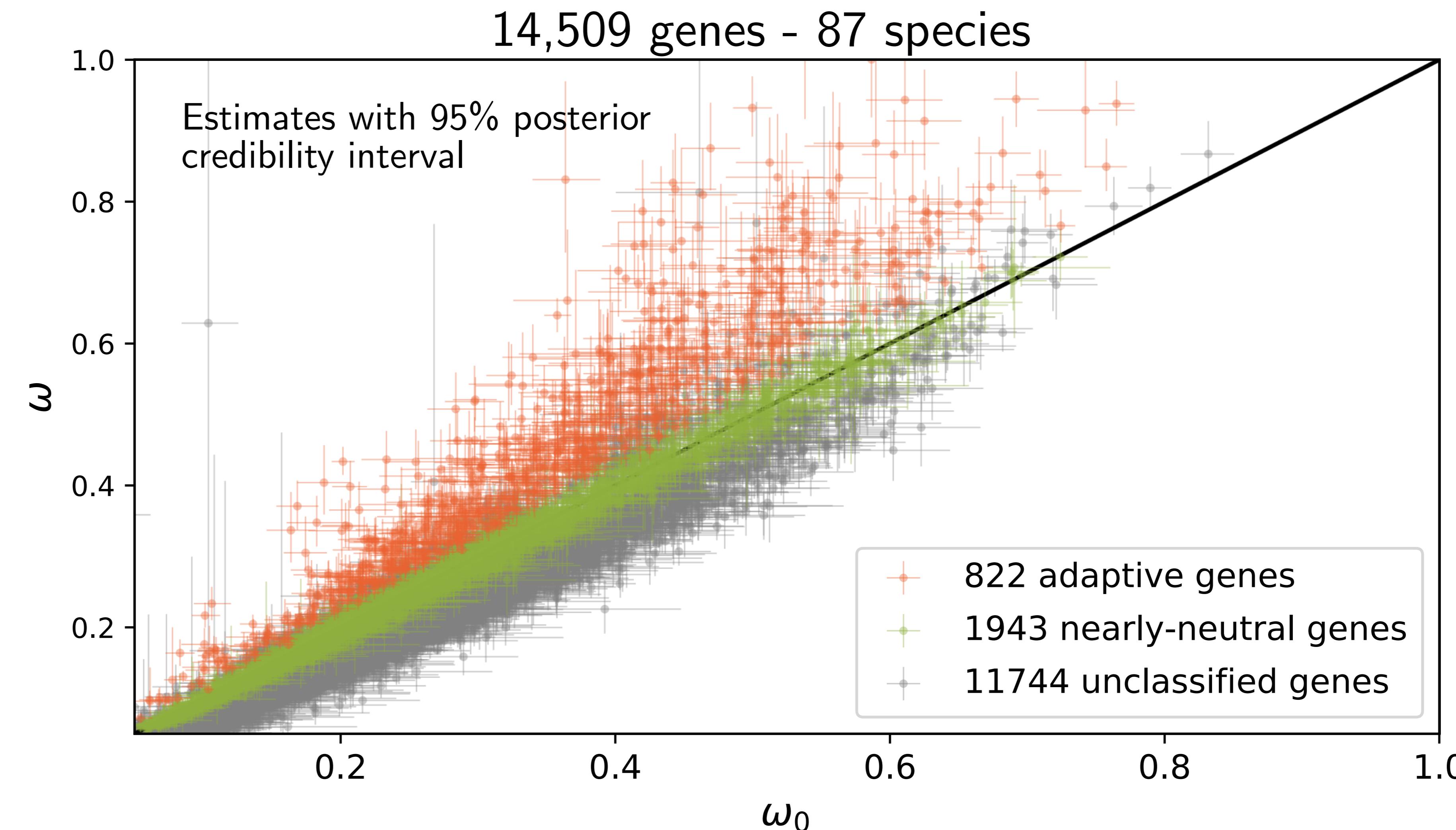
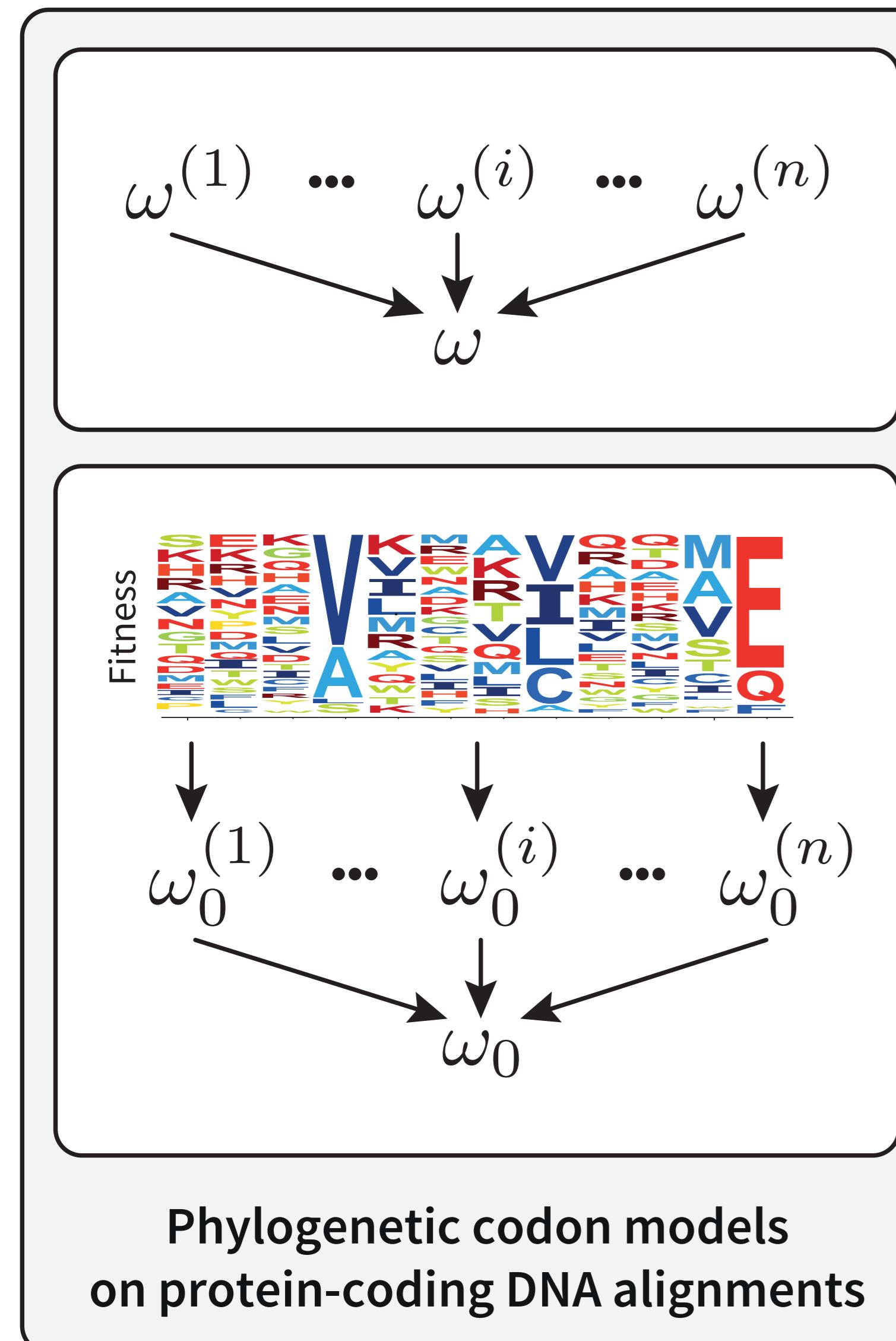
Spielman & Wilke (2015); Dos Reis (2015); Rodrigue & Lartillot (2017)

Mutation-selection model tested against simulations

- ω : estimated rate of evolution under classical codon model.
- ω_0 : predicted rate of evolution under the mutation-selection model.



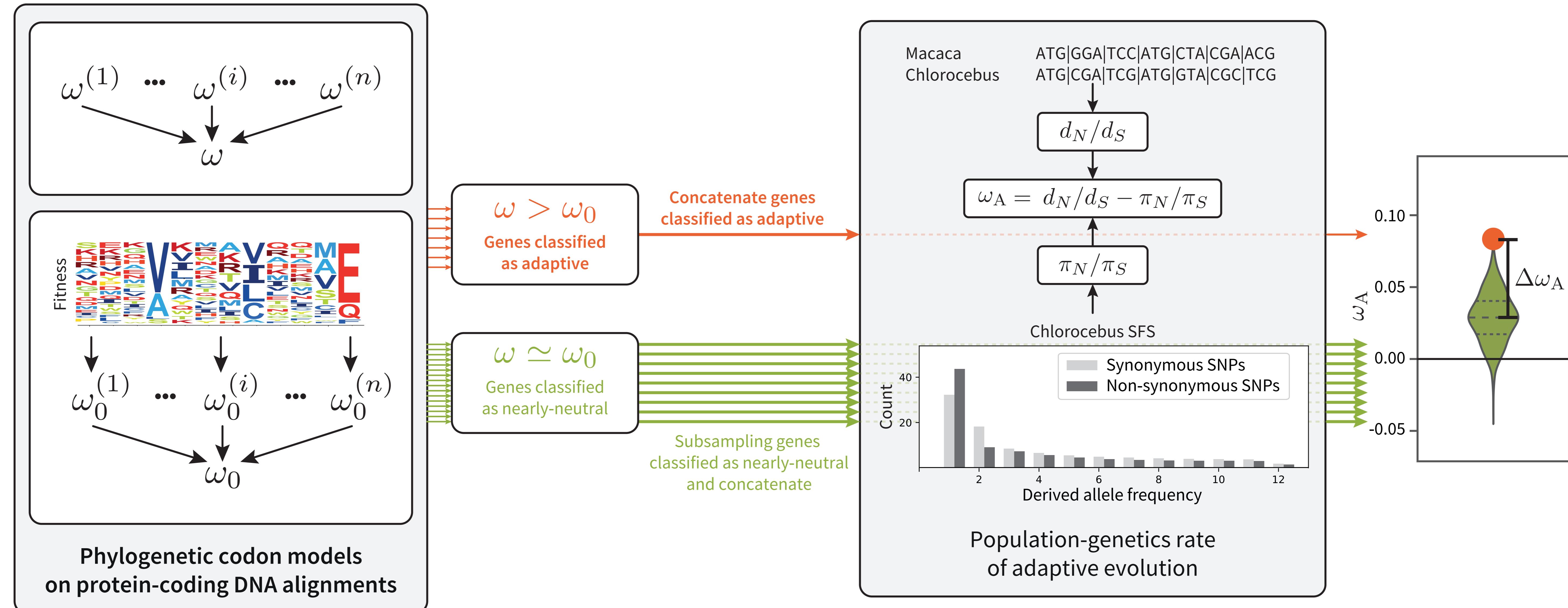
Mutation-selection model applied to mammalian genes



- Genes predicted to be under adaptation at the phylogenetic scale are enriched in ontologies related to immunity, response to virus and external membrane.

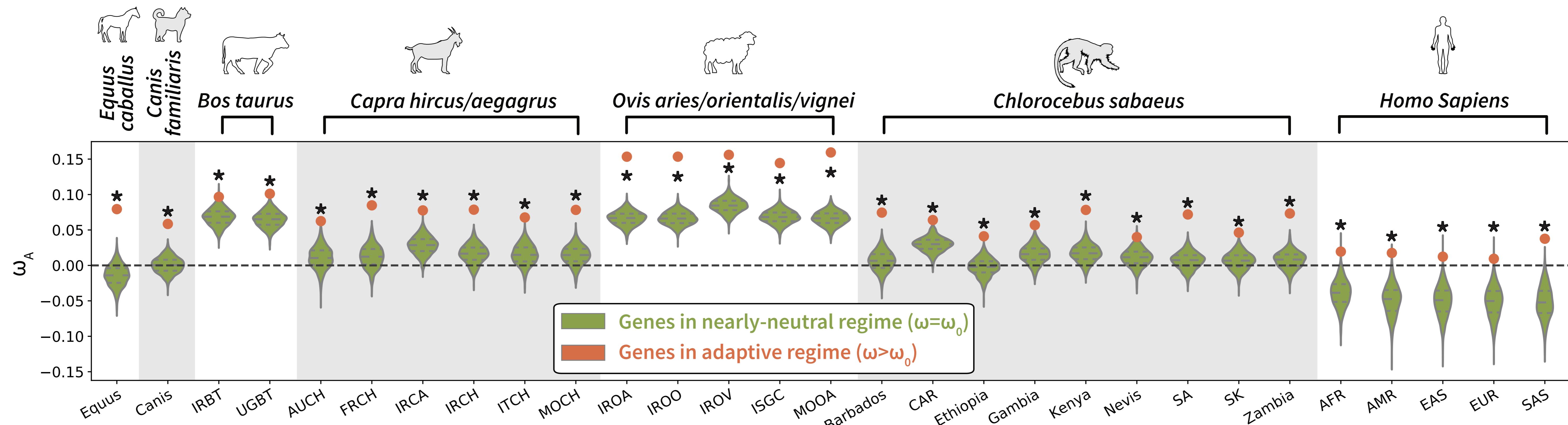
Is adaptation at different evolutionary scale comparable?

14,509 genes - 87 species



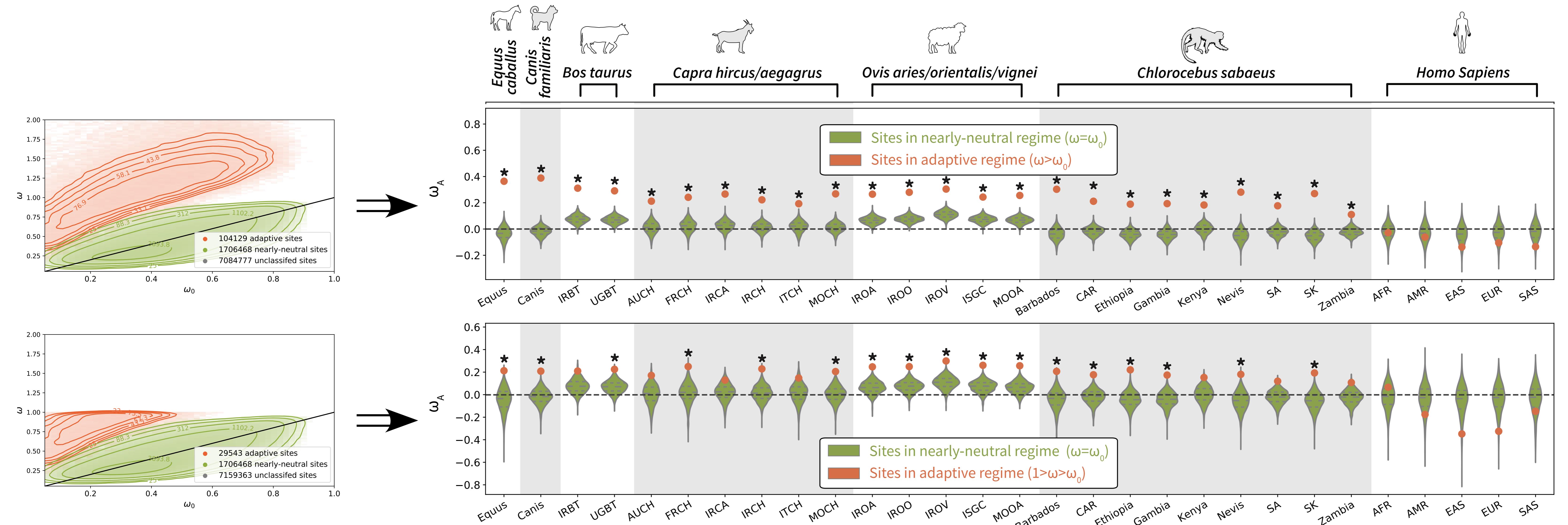
- Genes predicted to be under adaptation at the phylogenetic scale are under adaptation at the population-genetic scale.

Replicability across different populations



- Genes predicted to be under adaptation at the phylogenetic scale are under adaptation at the population-genetic scale.
- Replicable across populations and species.

Detection of adaptation at the site level



- Sites predicted to be under adaptation at the phylogenetic scale are under adaptation at the population-genetic scale.

- Replicable across populations and species.

(partial) conclusion

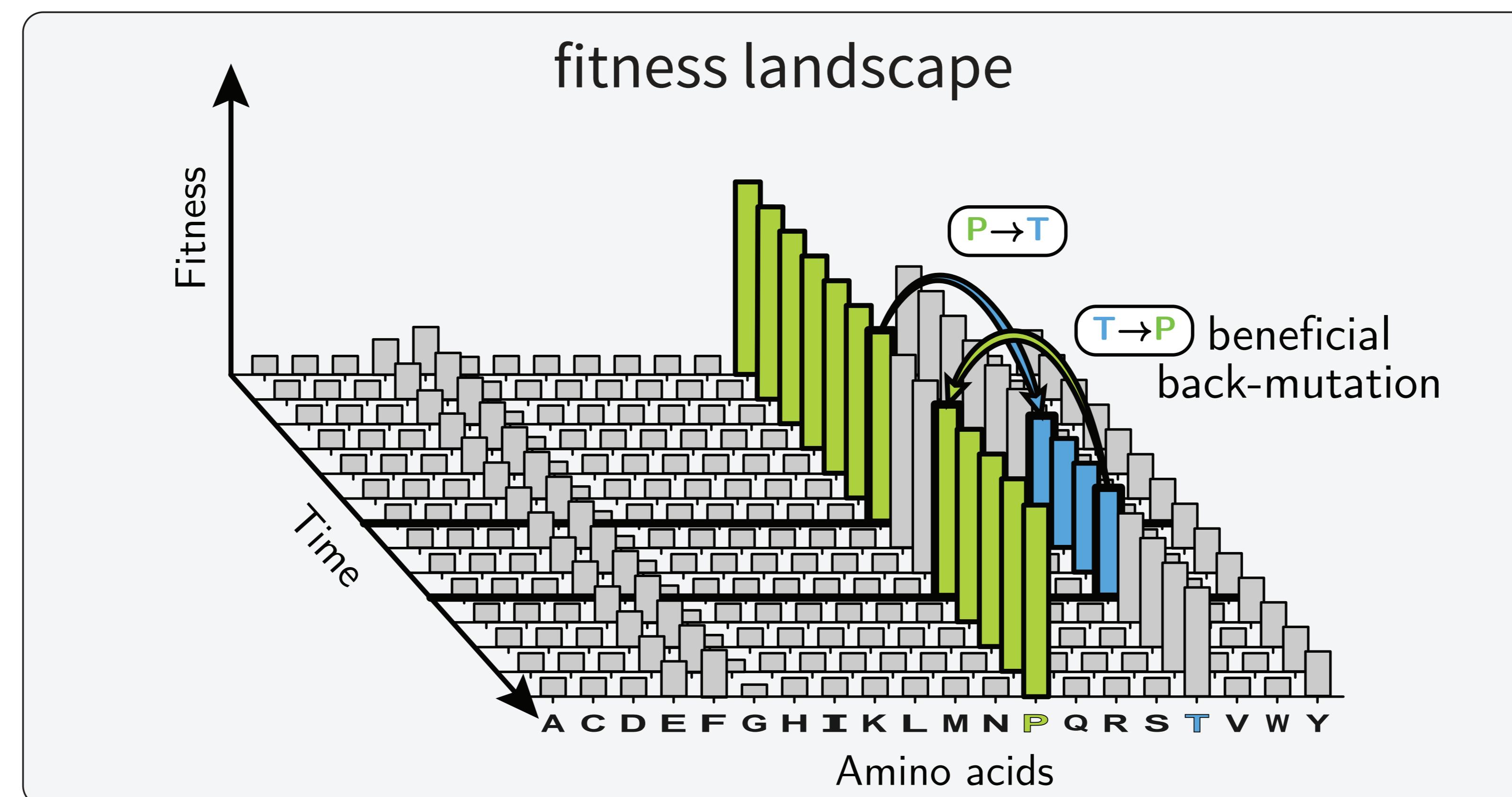
I. Genes and sites predicted to be under adaptation at the phylogenetic scale exhibit adaptation at the population-genetic scale.

- Mutation-selection is a null (nearly-neutral) model of evolution.
- Statistically more powerful than detecting adaptation as $\omega>1$ (neutral model).

II. Mutation-selection models to predict selection coefficient of mutations

- Is a new mutation deleterious, nearly-neutral, or a beneficial?
- Can we derive the proportion of beneficial mutations that are not adaptive?

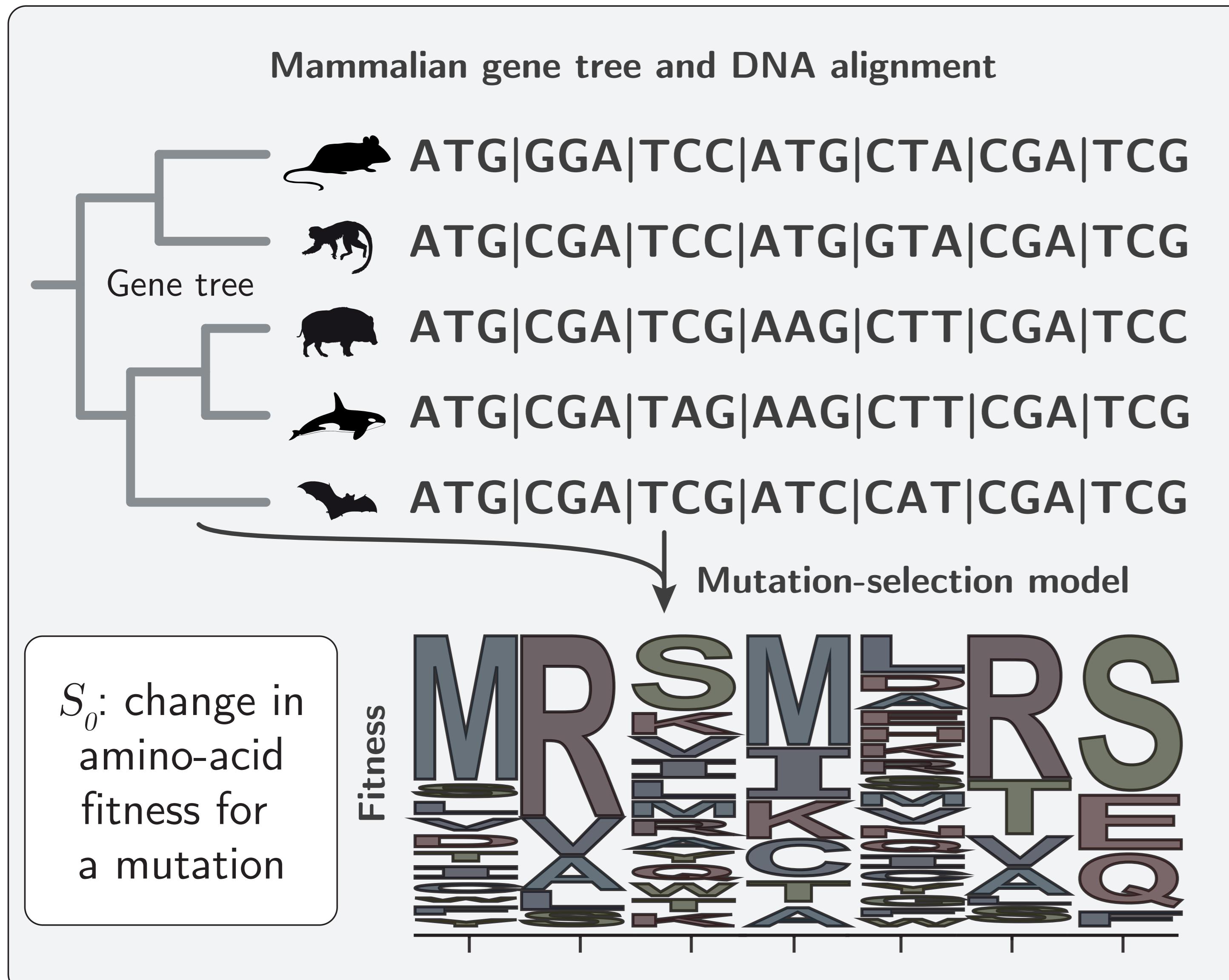
Beneficial and deleterious mutations at mutation-selection balance



- Deleterious mutations can reach fixation due to genetic drift.
- Mutations in the opposite direction are restoring our genomes, not driving innovation.

Sella & Hirsh (2005); Mustonen & Lässig (2009)

Is a new mutation deleterious, nearly-neutral, or a beneficial back-mutation?



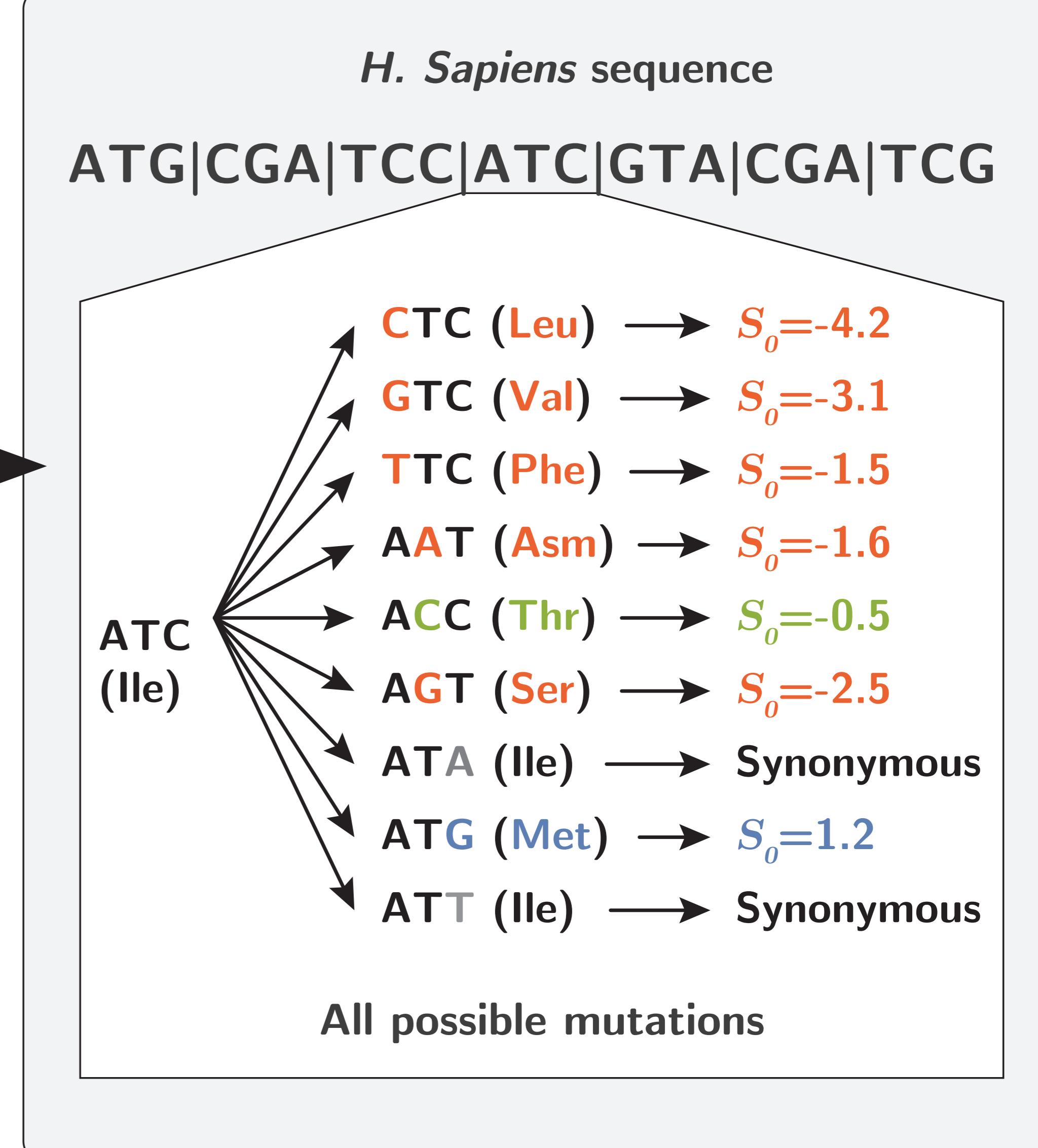
Selection coefficient (S_0) at the phylogenetic scale

Beneficial back-mutation
 $S_0 > 1$

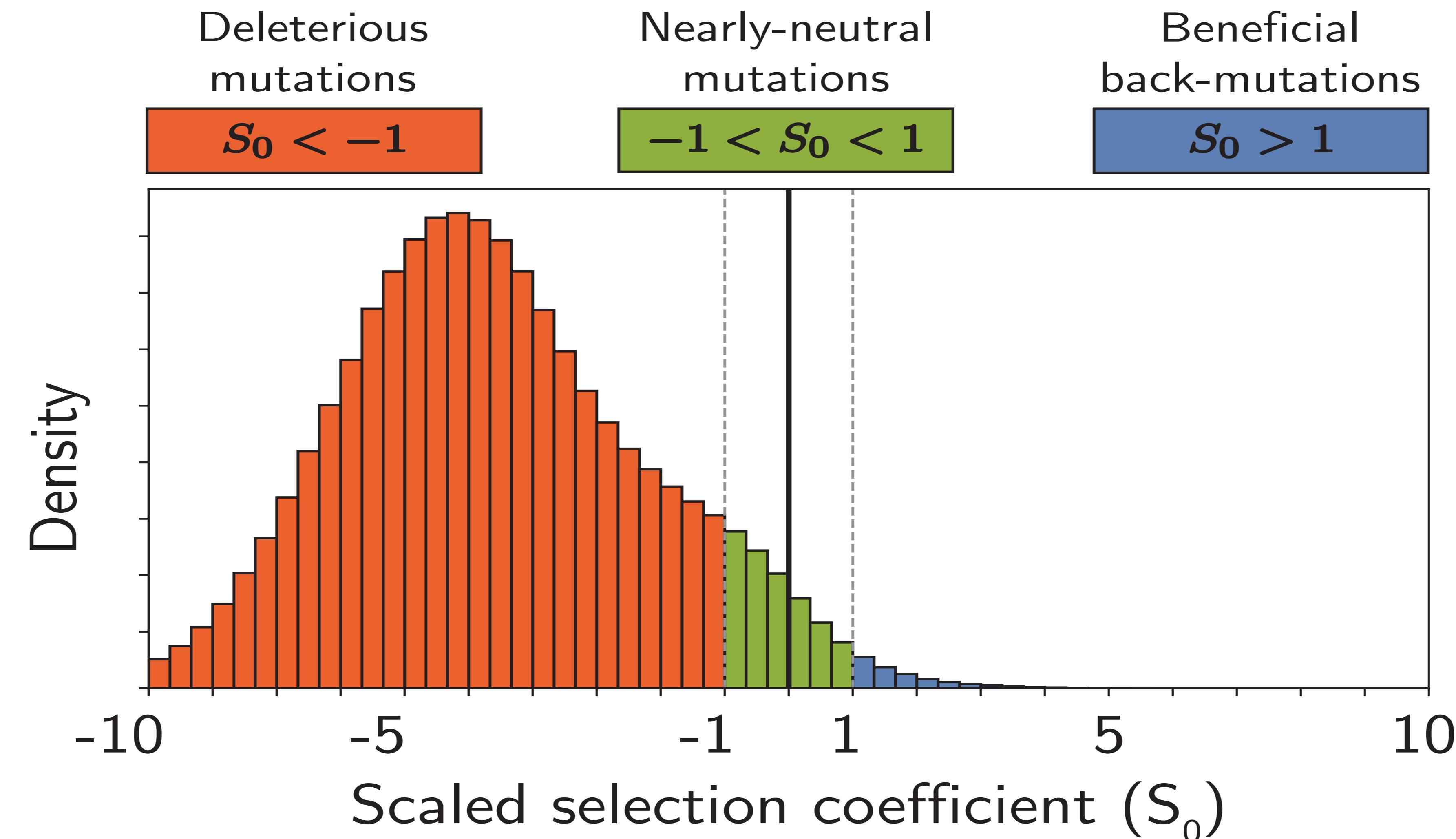
Nearly-neutral mutations
 $-1 < S_0 < 1$

Deleterious mutations
 $S_0 < -1$

Synonymous mutations

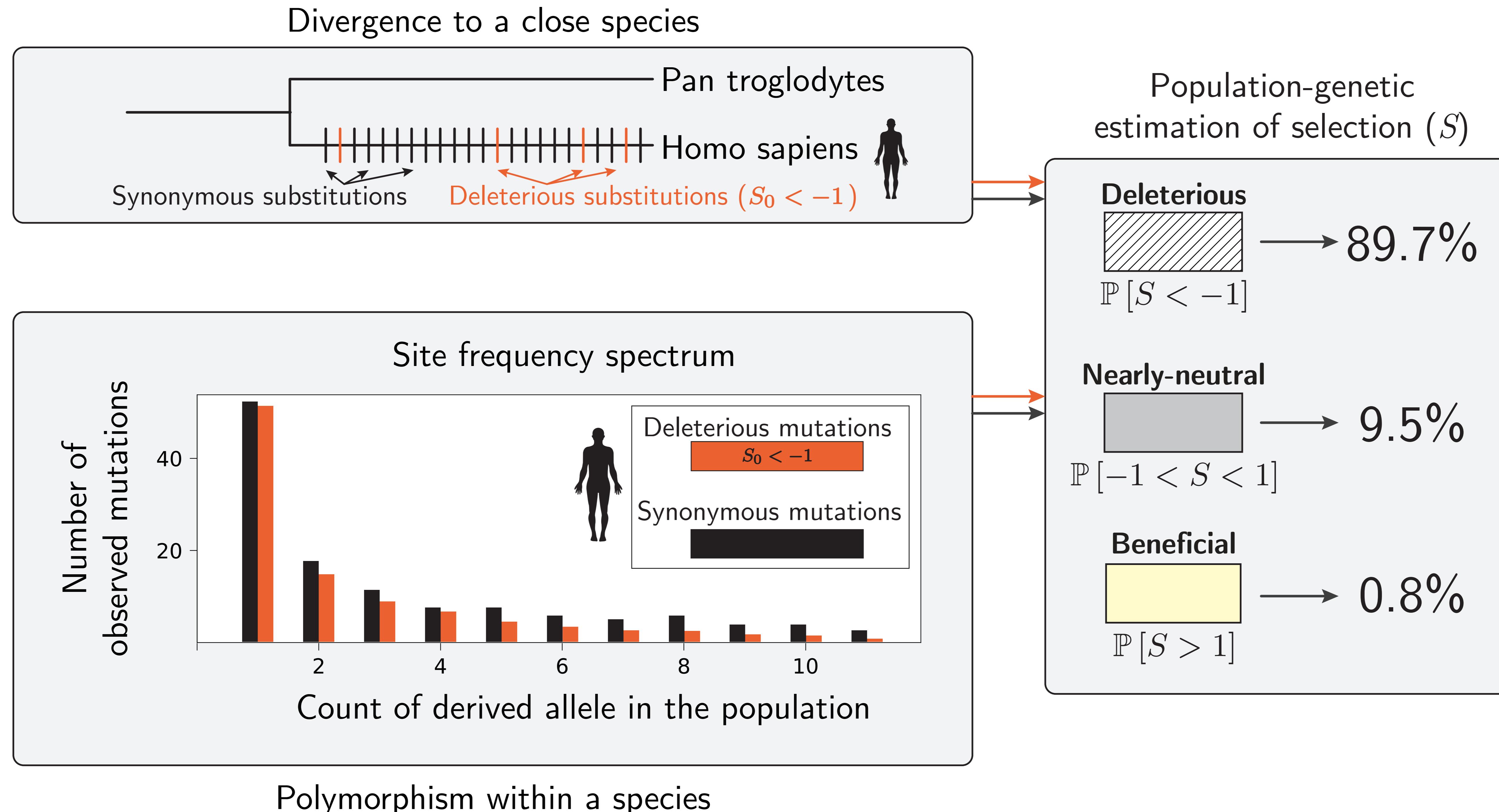


What is the expected effect of a new mutation in *H. sapiens*?



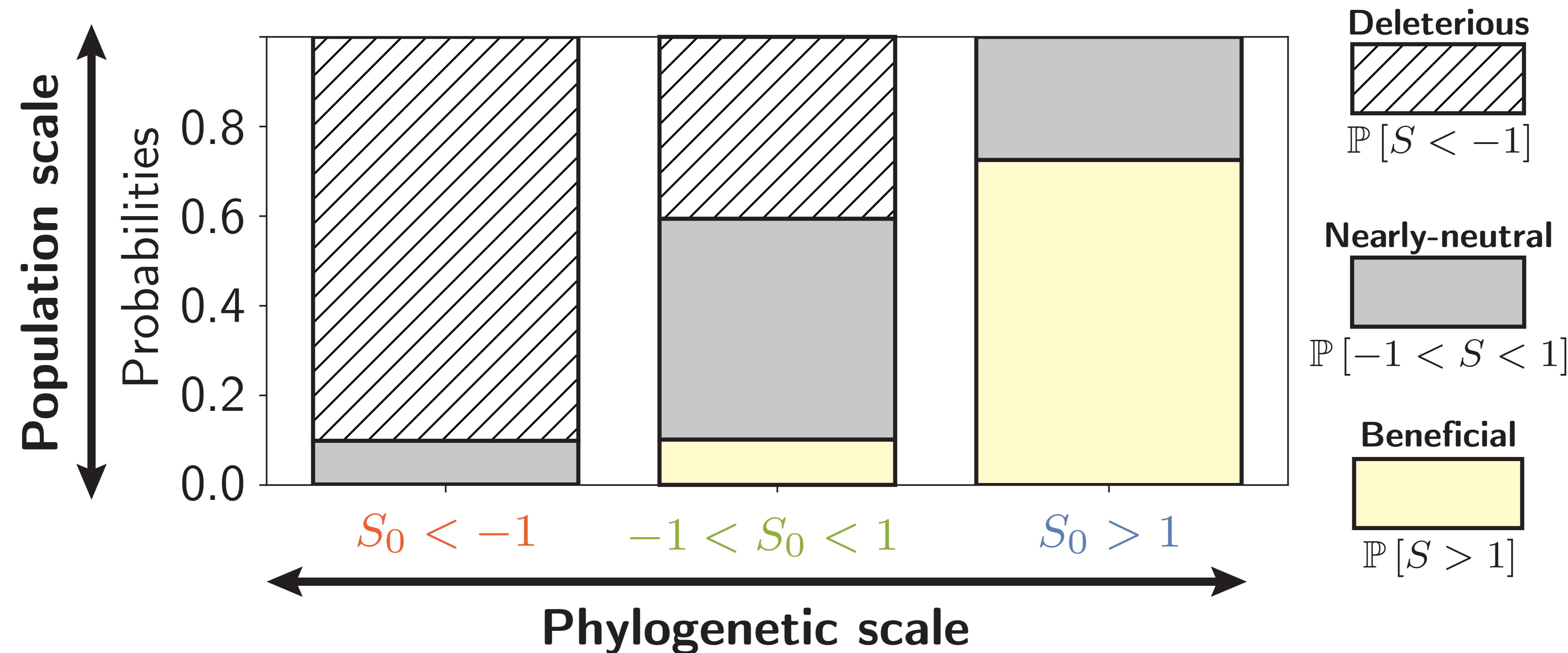
- Are predicted deleterious mutations purified away in *H. sapiens*?
→ SNPs associated with clinical terms such as *likely pathogenic* & *pathogenic*
- Are predicted beneficial back-mutations advantageous in *H. sapiens*?
→ Substitutions in the terminal lineage with $d_N/d_S = 1.5$
- Is selection at the phylogenetic and population scale comparable?

Is selection at the phylogenetic and population scale comparable?



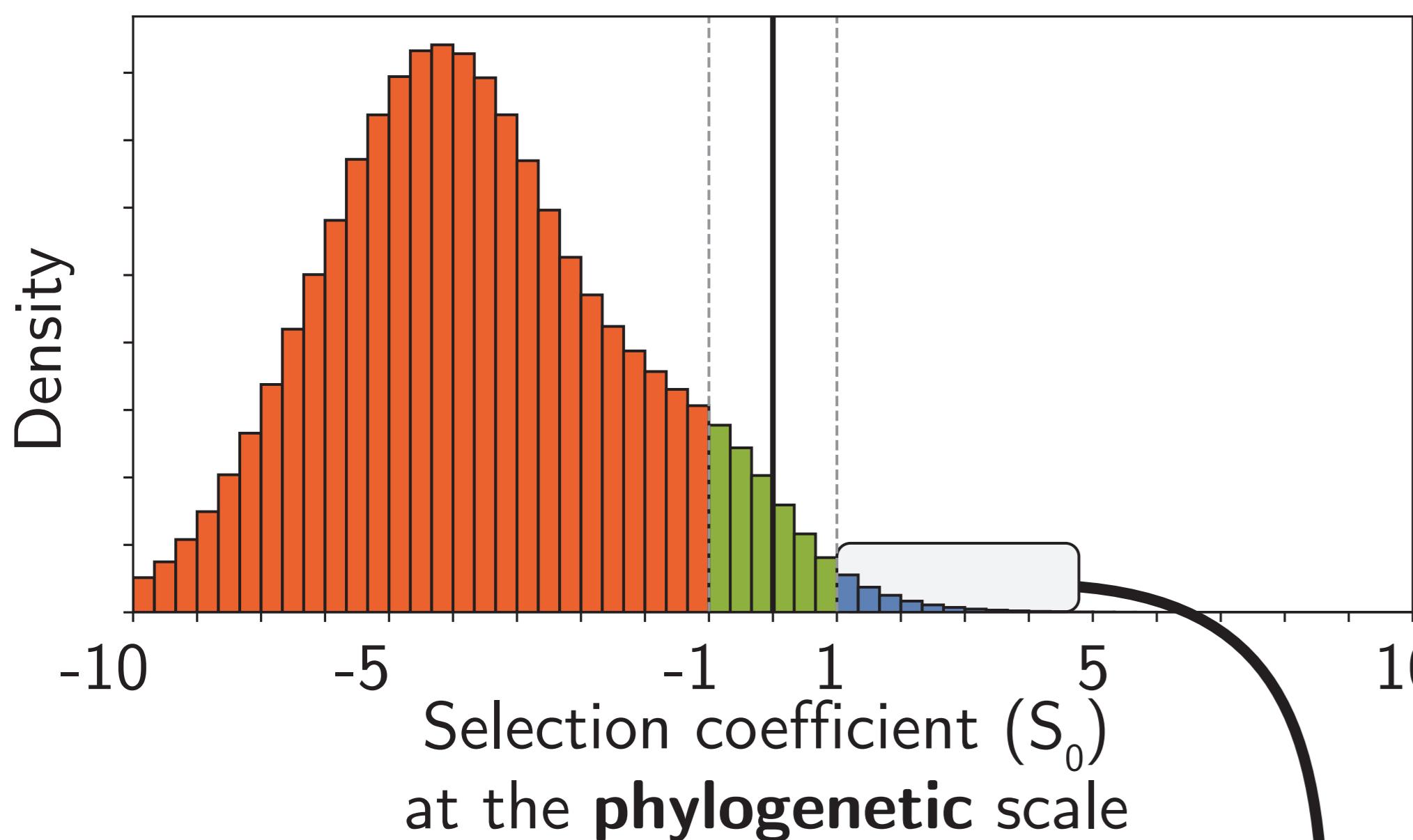
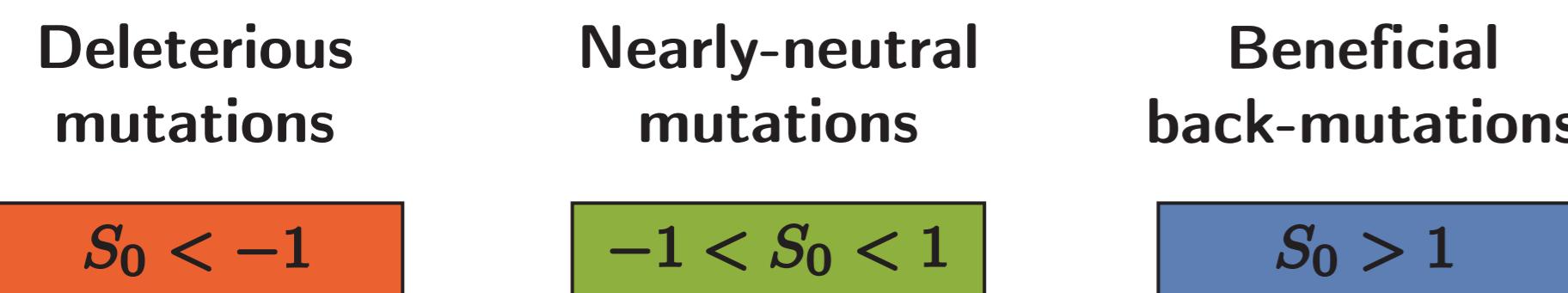
Tataru & Bataillon (2020)

Is selection at the phylogenetic and population scale comparable?



- Predicted deleterious mutations are effectively purified away in *H. sapiens*.
→ 90% precision (false positives) and 97% recall (false negatives).
- Predicted beneficial back-mutations are effectively beneficial in *H. sapiens*.
→ 70% precision (false positives) and 25% recall (false negatives).

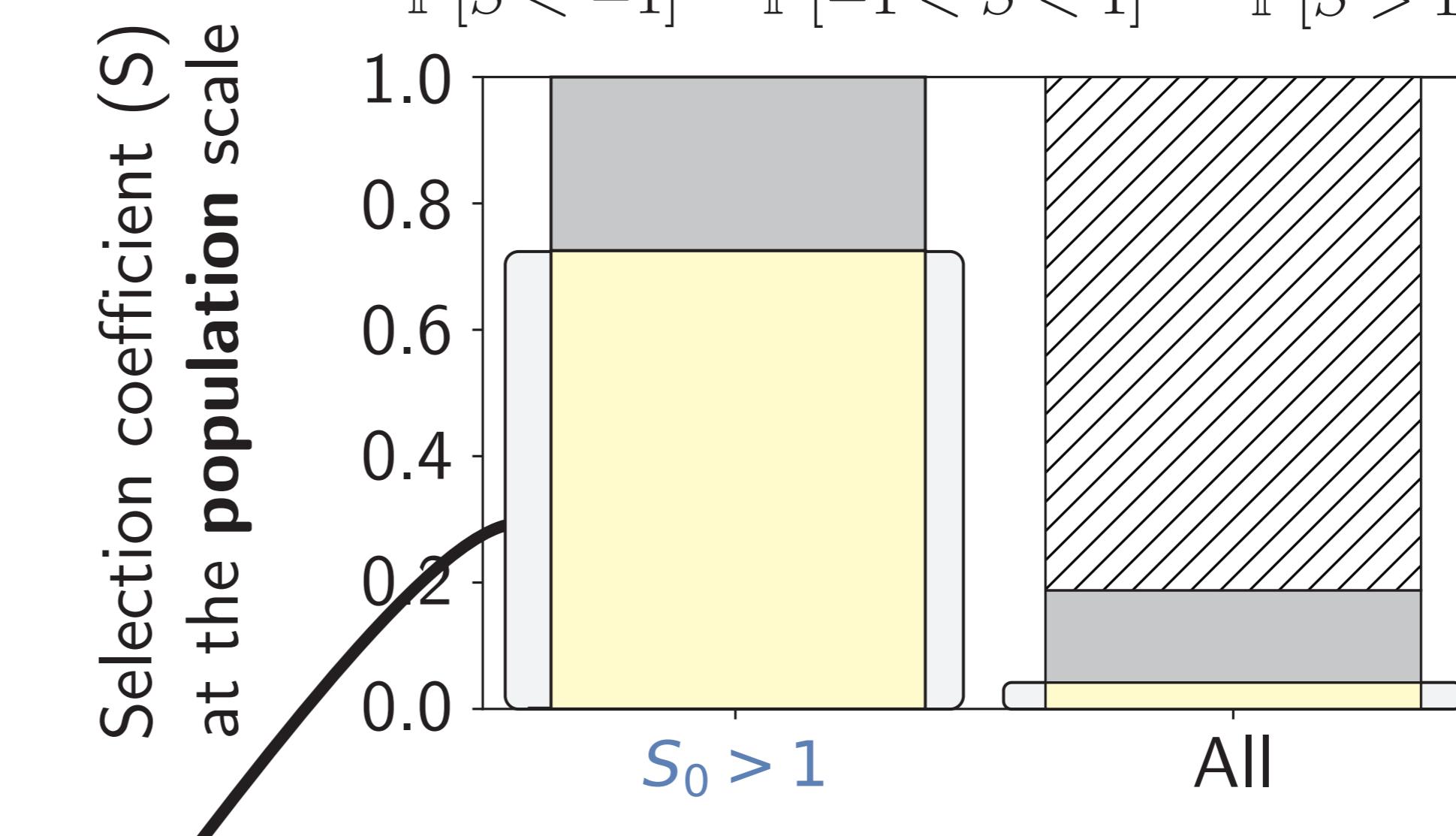
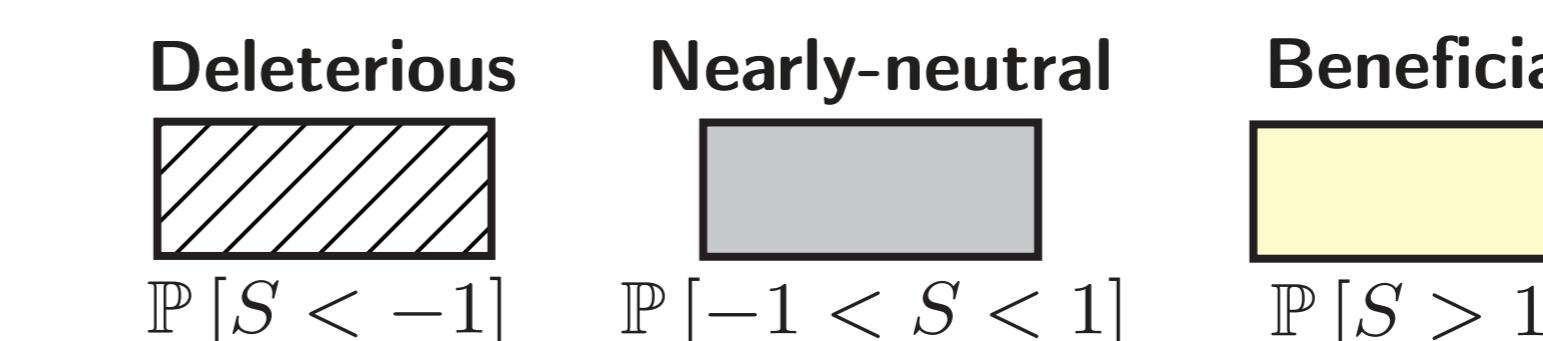
What is the proportion of beneficial mutations that are not adaptive?



$$P[S_0 > 1] = 0.014$$

$$P[S > 1 | S_0 > 1] = 0.72$$

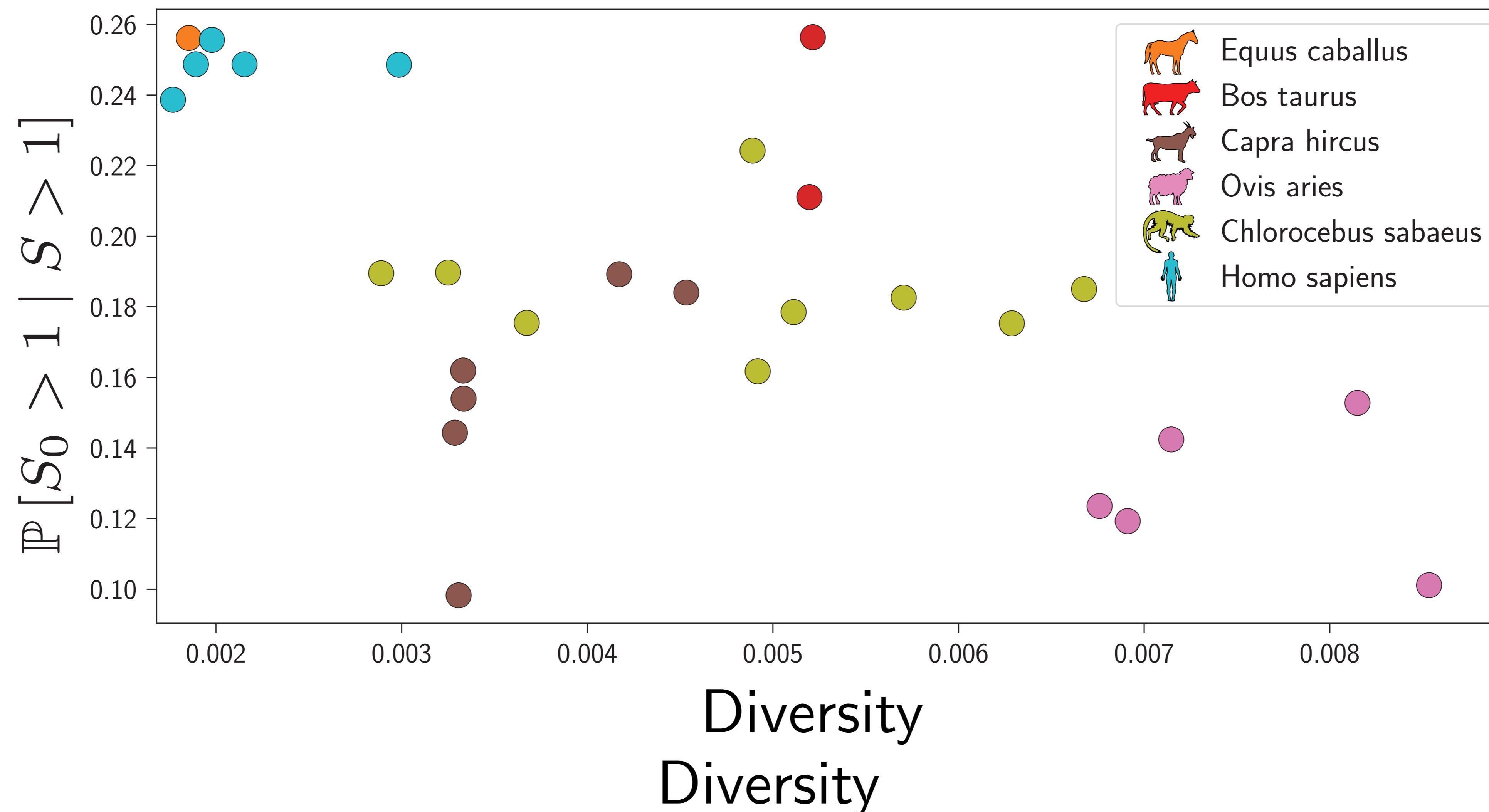
$$P[S > 1] = 0.042$$



$$P[S_0 > 1 | S > 1] = \frac{P[S > 1 | S_0 > 1] P[S_0 > 1]}{P[S > 1]} = 0.25$$

Probability for beneficial mutation to be a back-mutation

Are our results replicable?



- $P[S_0 > 1 | S > 1]$: probability for beneficial mutation to be a back-mutation.
- Between 10 and 26% across different populations (mammals)
- Higher proportion of beneficial back-mutations for population with lower diversity.

Take home messages

I. Genes and sites predicted to be under adaptation at the phylogenetic scale exhibit adaptation at the population-genetic scale.

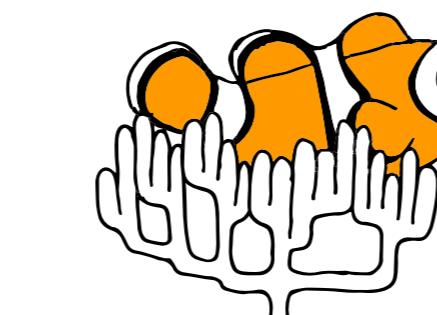
- Mutation-selection is a null (nearly-neutral) model of evolution.
- Stastically more powerfull than detecting adaptation as $\omega>1$ (neutral model).

II. Mammalian genes exhibit widespread beneficial mutations that are not adaptive

- Mutation-selection model can predict the selective effect of mutations.
- We can derive the proportion of beneficial mutations that are not adaptive.

Acknowledgements

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Julien Joseph & LBBE

